

# RMT of topological states of matter

- topological insulators/superconductors
- topologically nontrivial RMT ensembles
- conductance of Andreev quantum dot
- fermion parity switches

# the three-fold way (Wigner-Dyson)

time-reversal symmetry  
(anti-unitary operator that  
commutes with the Hamiltonian)

$$H\mathcal{T} = \mathcal{T}H$$

$$\mathcal{T}^2 = \pm 1$$

unitary

orthogonal

$$\mathcal{T}^2 = +1$$

symplectic

$$\mathcal{T}^2 = -1$$

# from three-fold way to ten-fold way (1)

$$H\mathcal{T} = \mathcal{T}H \quad \begin{array}{l} \text{inversion symmetry} \\ \text{time \& charge} \end{array} \quad H\mathcal{C} = -\mathcal{C}H$$

unitary

orthogonal

symplectic

$\mathcal{T}^2 = +1$		
$\mathcal{T}^2 = -1$		
	$\mathcal{C}^2 = +1$	
$\mathcal{T}^2 = -1$	$\mathcal{C}^2 = +1$	
	$\mathcal{C}^2 = -1$	
$\mathcal{T}^2 = +1$	$\mathcal{C}^2 = -1$	

$H\mathcal{C}\mathcal{T} = -\mathcal{C}\mathcal{T}H$   
 + 3 chiral ensembles  
 = 10 in total

superconducting  
 (Altland-Zirnbauer)

# from three-fold way to ten-fold way (2)

inversion symmetry  
*time* & *charge*

unitary

	$S \in \mathcal{U}(N)$
--	------------------------

*complex*

orthogonal

$S = S^t$	$S \in \mathcal{U}(N)$
-----------	------------------------

*unitary*

symplectic

$S = -S^t$	$S \in \mathcal{U}(2N)$
------------	-------------------------

*superconducting*

	$S \in \mathcal{O}(2N)$
--	-------------------------

*real*  
*orthogonal*

$S = -S^t$	$S \in \mathcal{O}(4N)$
------------	-------------------------

	$S \in \text{Sp}(4N)$
--	-----------------------

*quaternion*  
*symplectic*

$S = S^t$	$S \in \text{Sp}(4N)$
-----------	-----------------------

# ... and beyond ...

inversion symmetry  
time & charge

unitary

$$S \in \mathcal{U}(N)$$

orthogonal

$$S = S^t \quad S \in \mathcal{U}(N)$$

symplectic

$$S = -S^t \quad S \in \mathcal{U}(2N)$$

$$S \in \mathcal{O}(2N)$$

$$S = -S^t \quad S \in \mathcal{O}(4N)$$

$$S \in \mathcal{Sp}(4N)$$

$$S = S^t \quad S \in \mathcal{Sp}(4N)$$

topological  
quantum  
number  $Q$

$$\text{Det } S = \pm 1$$

$$\text{Pf } S = \pm 1$$

superconducting

... and beyond ...

inversion symmetry  
*time & charge*

CUE		$S \in U(N)$
COE	$S = S^t$	$S \in U(N)$
CSE	$S = -S^t$	$S \in U(2N)$
CRE		$S \in O(2N)$
TCRE	$S = -S^t$	$S \in O(4N)$
CQE		$S \in Sp(4N)$
TCQE	$S = S^t$	$S \in Sp(4N)$

topological  
quantum  
number  $Q$

$$\text{Det } S = \pm 1$$

$$\text{Pf } S = \pm 1$$

# enter topological superconductors

- CRE (aka class D): chiral p-wave superconductor (strontium ruthenate?)
- TCRE (aka class DIII): topological insulator (HgTe quantum well) with s-wave proximity effect

see reviews in Rev.Mod.Phys. by  
Hasan & Kane and by Qi & Zhang

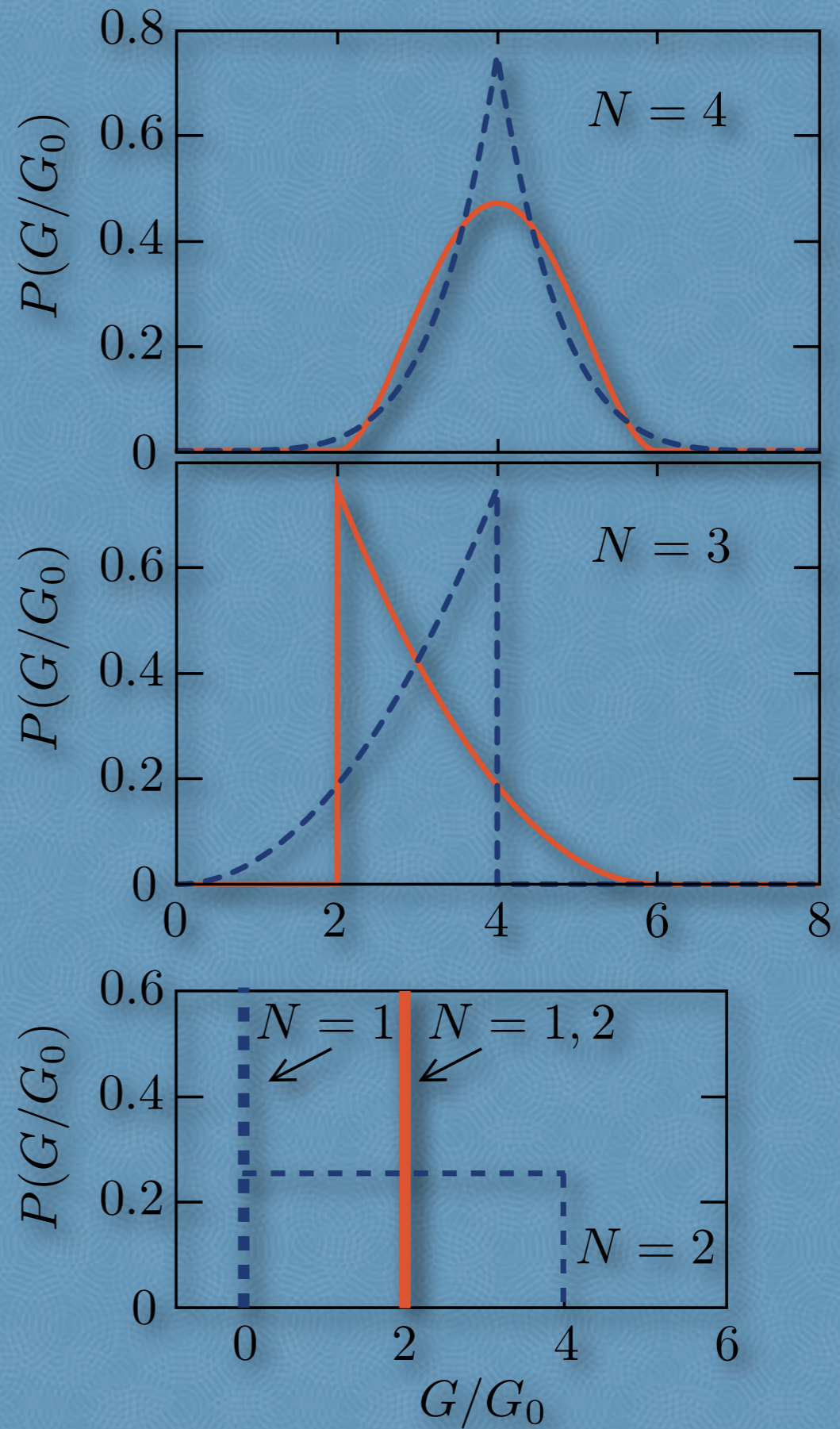
needed: RMT which knows about  
topological quantum numbers

# conductance distribution in the CRE

$Q = -1$  ———

$Q = +1$  ———

what systematics do you notice?





# cumulants of conductance

$$G/G_0 = \frac{1}{2} \text{Tr} (1 + JSJS^\dagger)$$

$$G_0 = e^2/h \quad J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

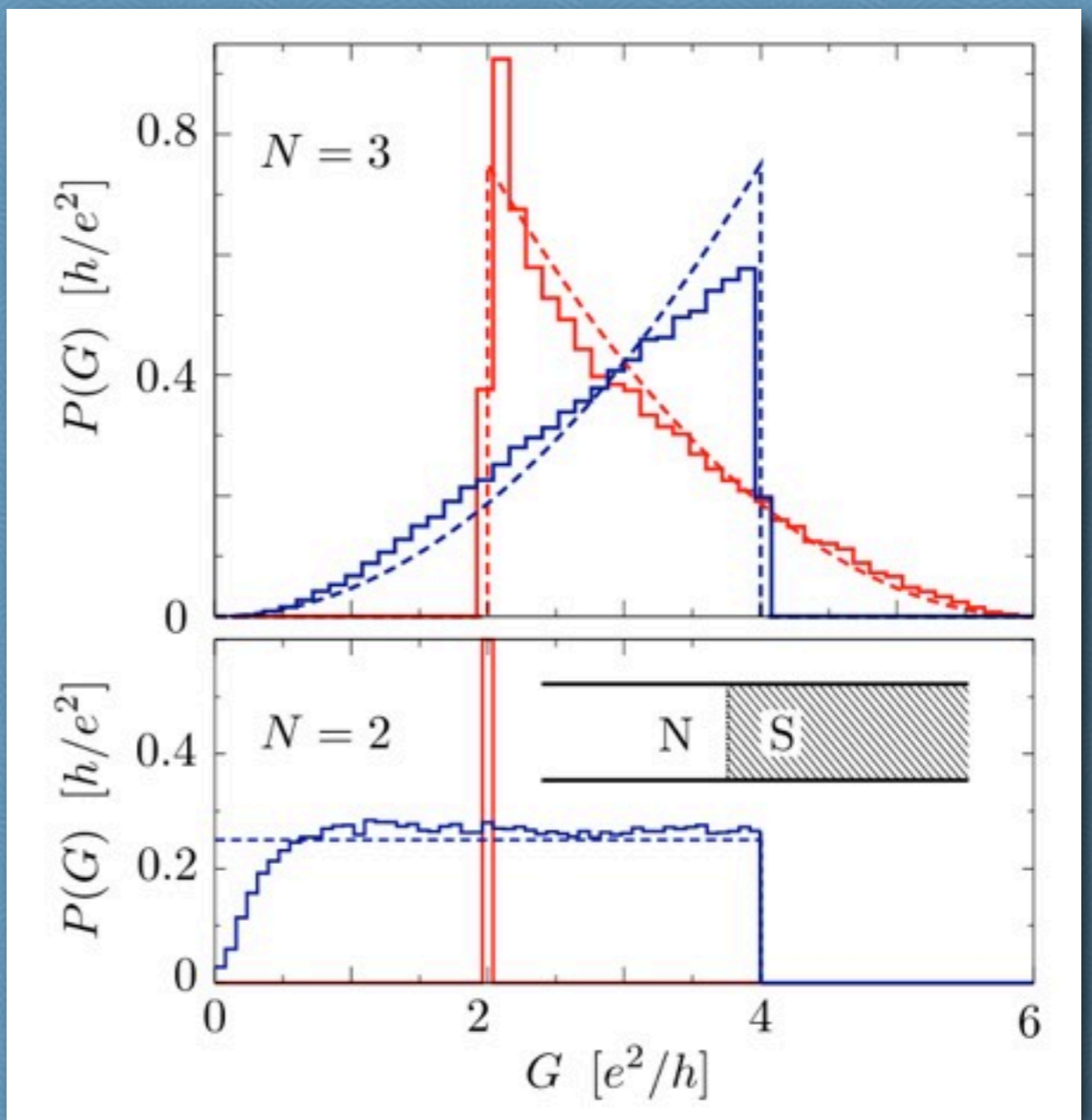
$$\langle (G/G_0)^p \rangle_Q = \int_{O(2N)} d\mu(S) \left[ \frac{1}{2} \text{Tr}(1 + JSJS^\dagger) \right]^p \frac{1}{2} (1 + Q \text{Det } S)$$

lemma:  $\int_{O(2N)} d\mu(S) (\text{Tr } JSJS^\dagger)^p \text{Det } S = 0$  if  $p < N$

hence the  $p$ -th moment or cumulant of the conductance is independent of the topological quantum number if  $p < N$

*no  $Q$ -dependence of weak localization or UCF*

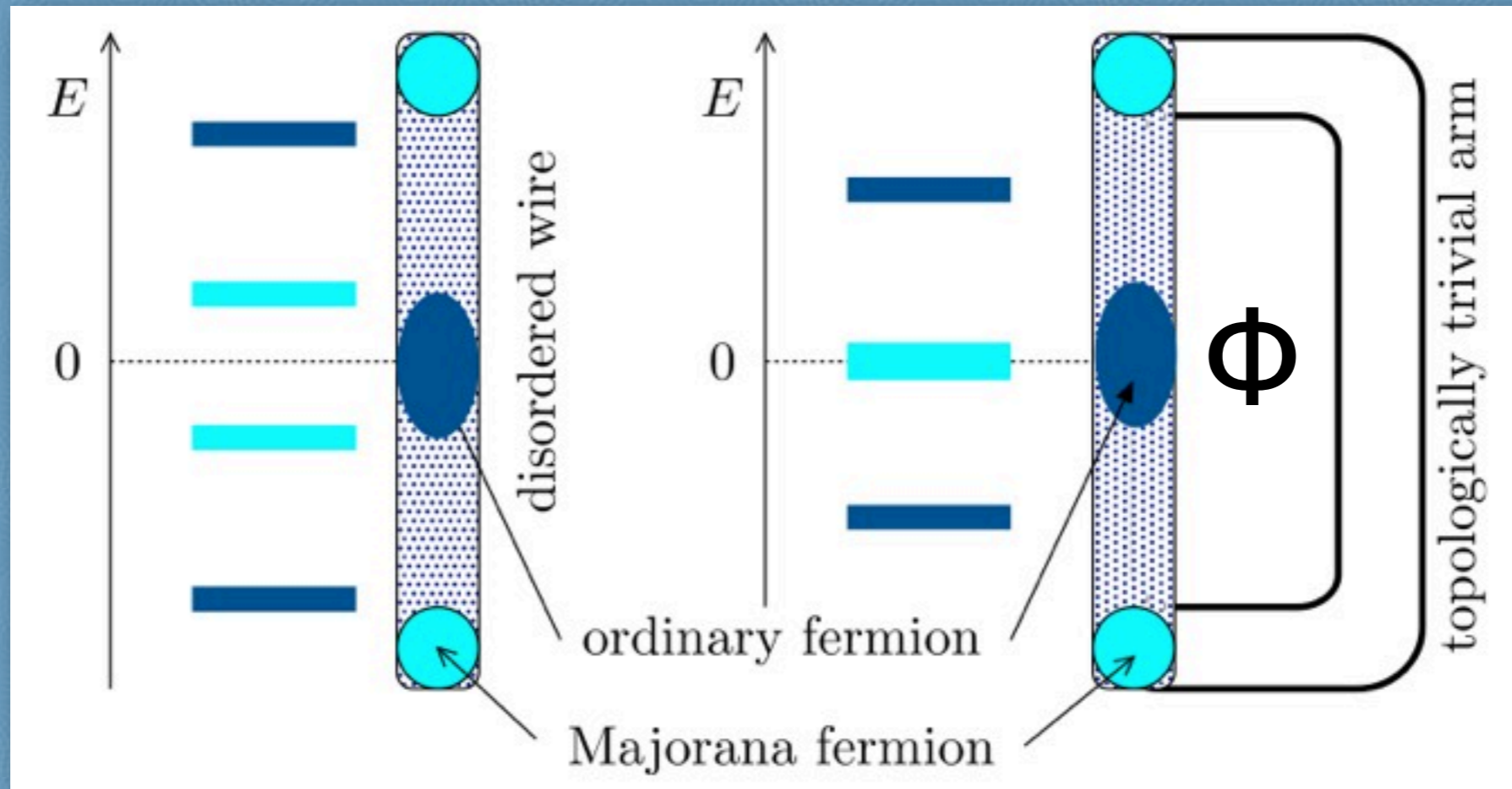
*comparison of  
RMT with a  
microscopic  
model  
calculation*



$$H_R = \frac{\mathbf{p}^2}{2m_{\text{eff}}} + U(\mathbf{r}) + \frac{\alpha_{\text{so}}}{\hbar} (\sigma_x p_y - \sigma_y p_x) + \frac{1}{2} g_{\text{eff}} \mu_B B \sigma_x$$

**Rashba-Zeeman Hamiltonian +  
s-wave proximity effect**

# what is counted by $Q$ ?

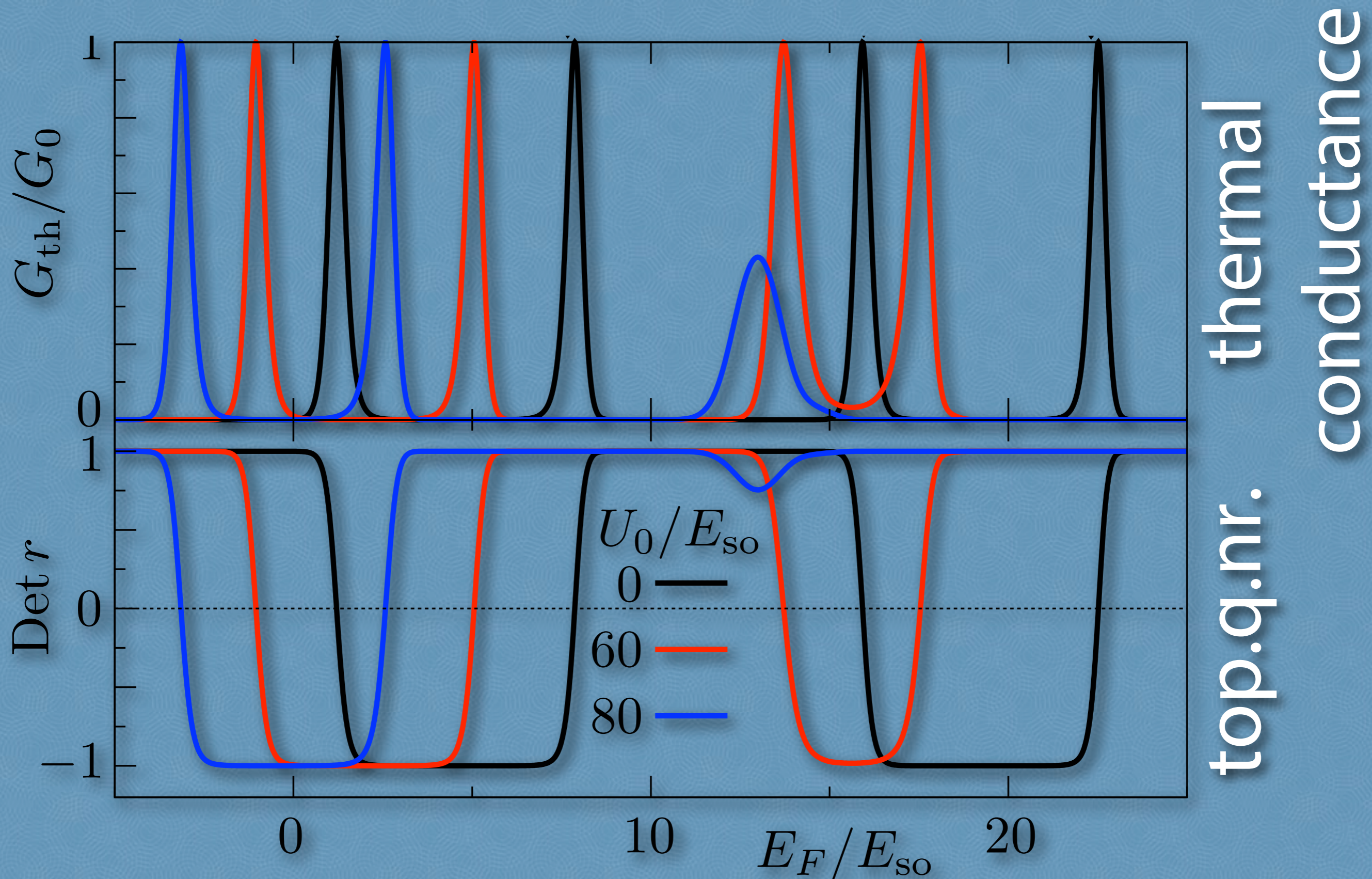


$$\gamma(E) = \gamma^\dagger(-E) \Rightarrow \gamma = \gamma^\dagger \text{ for } E = 0$$

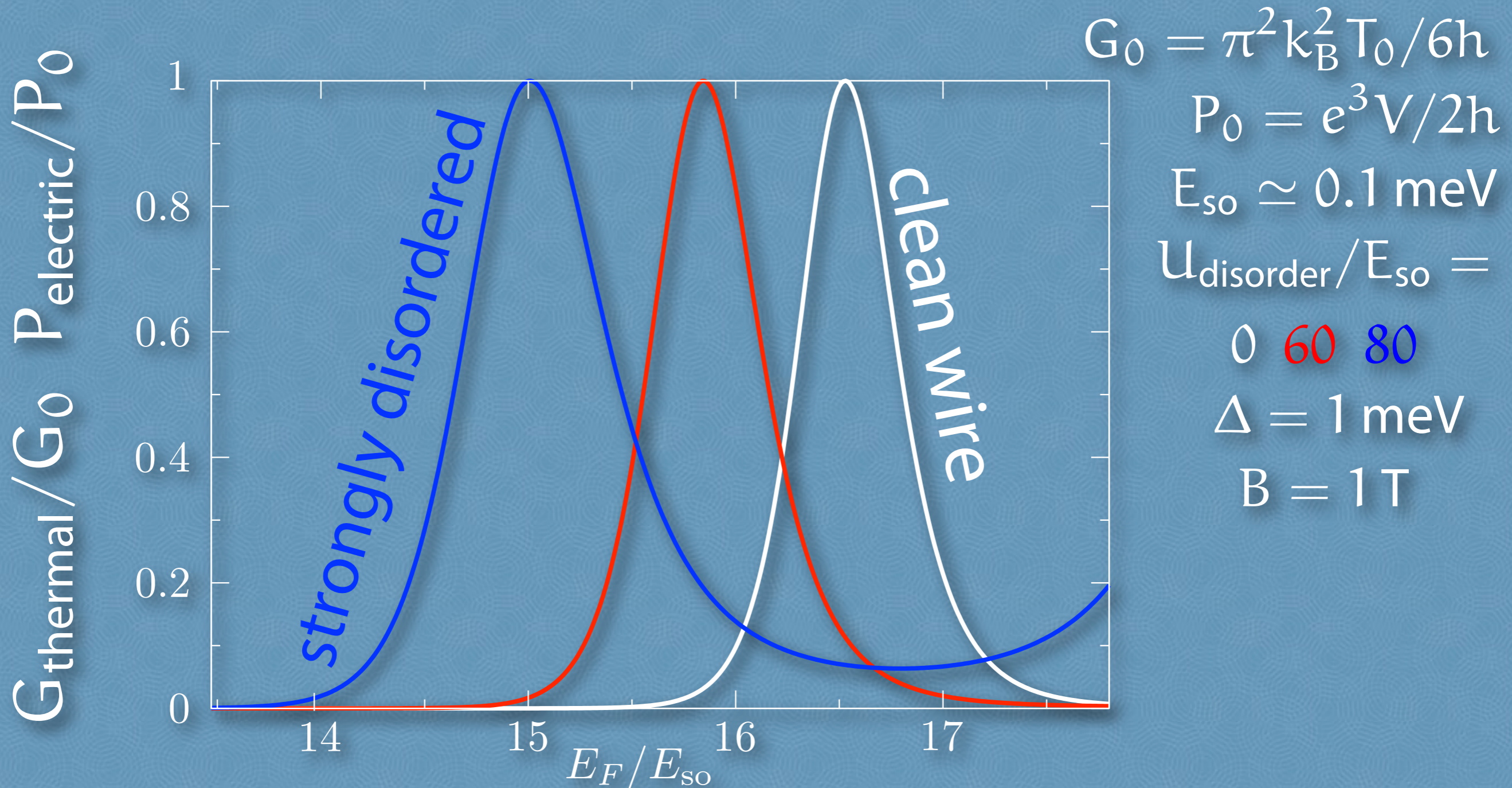
$m$  pairs of  
Majorana fermions

$$Q = (-1)^m$$

# topological phase transitions



# quantized conductance & shot noise at the topological phase transition



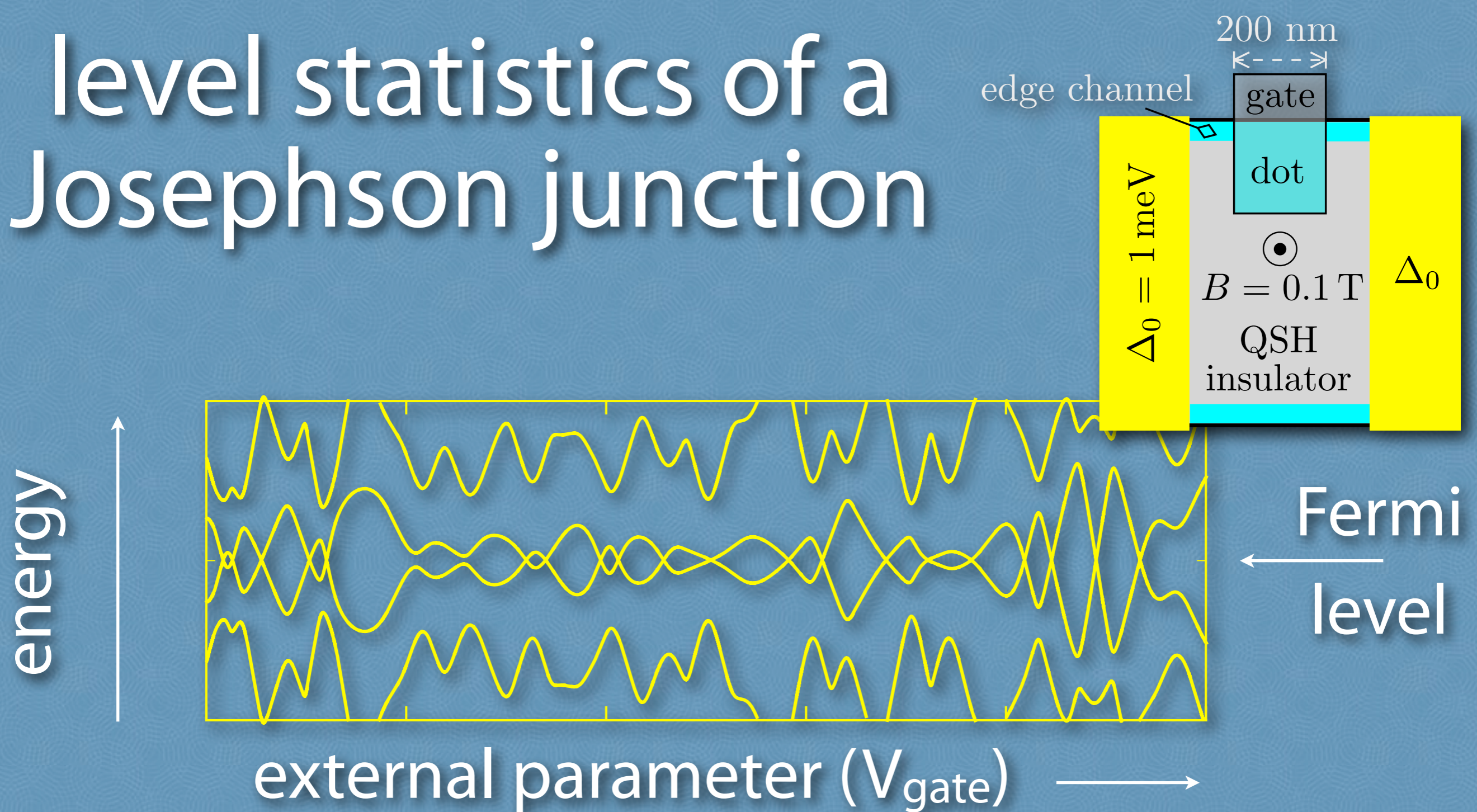
*no disorder corrections to quantization*

# Wigner-Poisson statistics of fermion parity transitions

*Majorana meets a mermaid*

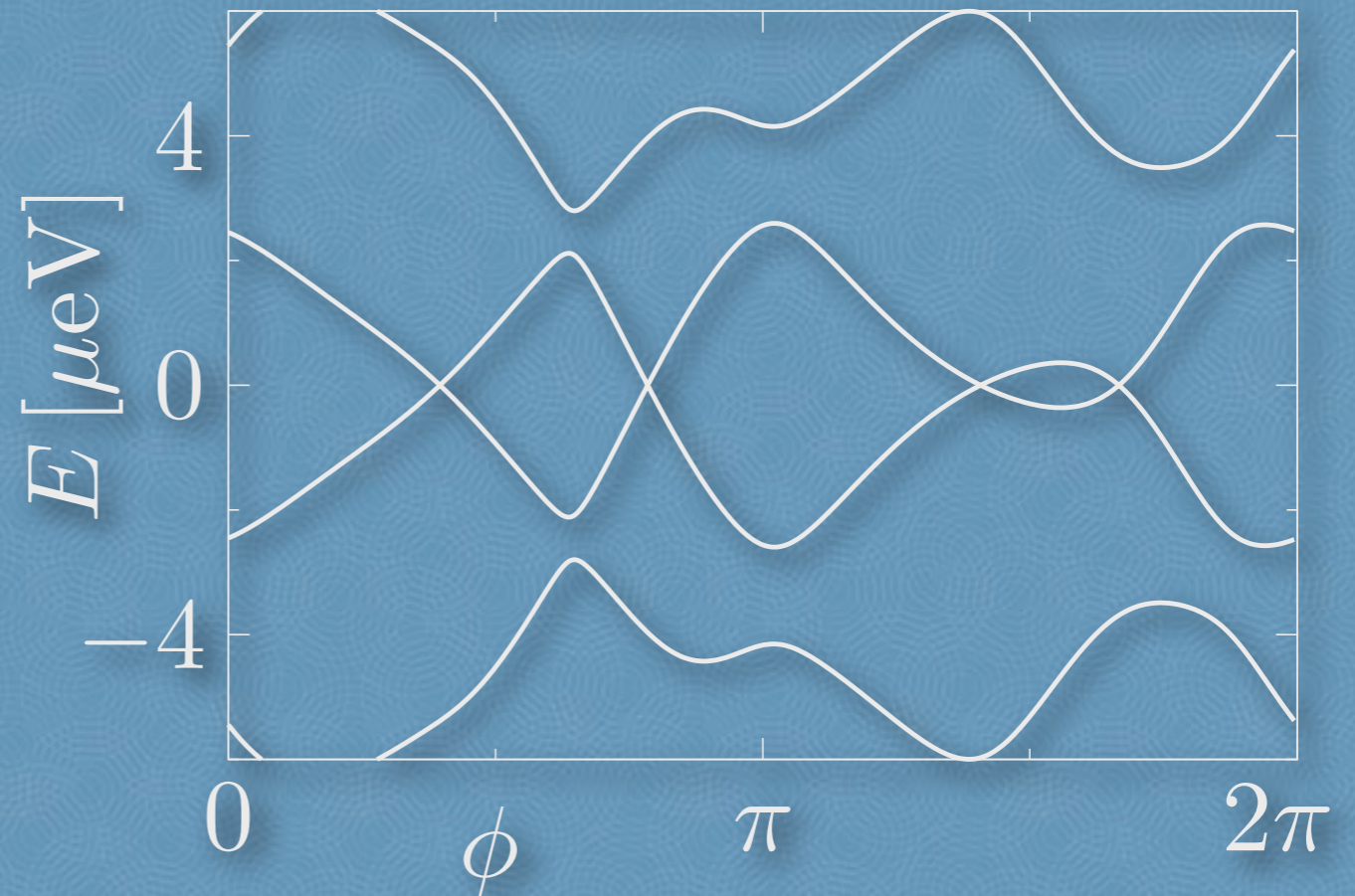


# level statistics of a Josephson junction



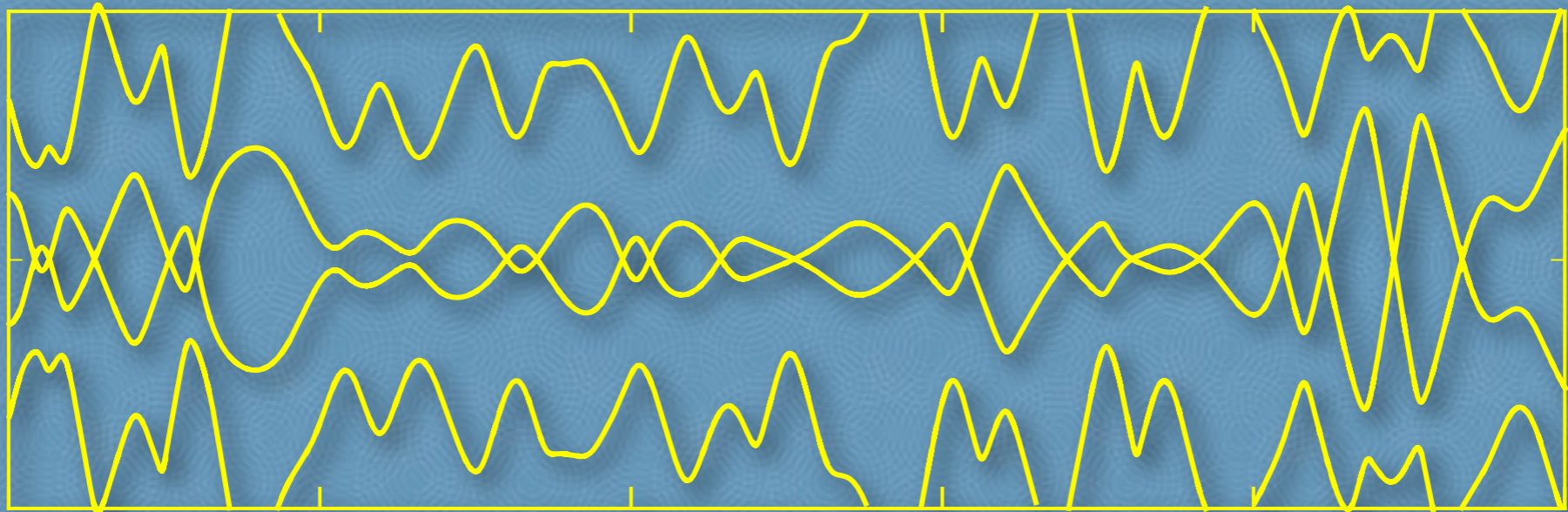
absence of level repulsion at  $E_F$  –  
signature of Majorana zero-modes

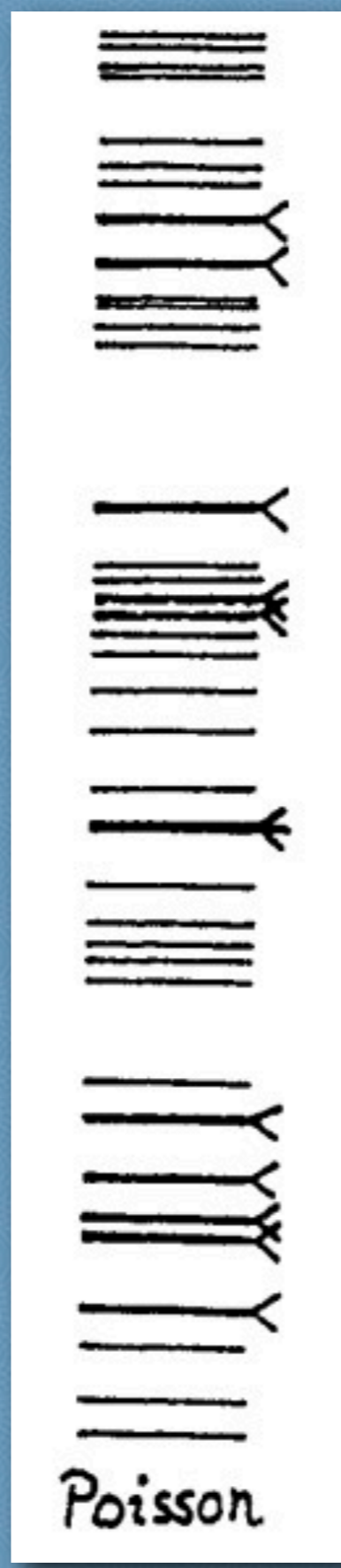
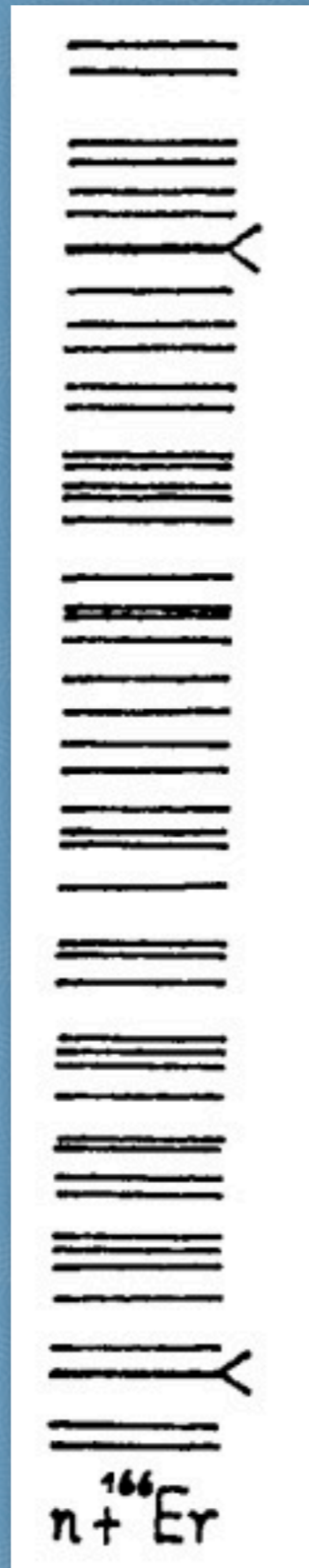
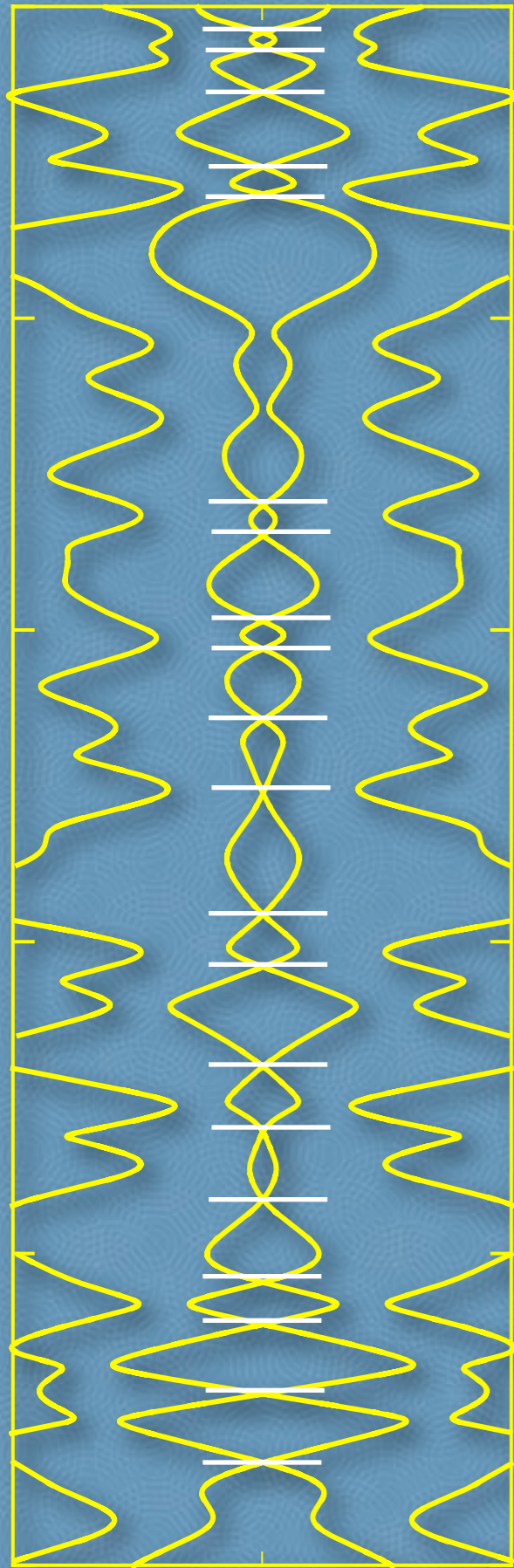
level crossings  
are *fermion-parity*  
*transitions*  
(Kitaev)



- ground state fermion parity is a  $Z_2$  topological quantum number
- switches *even* | *odd* number of times for a topologically *trivial* | *nontrivial* superconductor ( $\rightarrow 4\pi$ -periodic Josephson effect)
- level crossing is a topological transition (*zero-dimensional class D*)







repulsion of  
level *crossings*

*problem*: find a  
random matrix  
that has the  
level crossings  
as eigenvalues

GOE (Wigner)

# level crossings as an eigenvalue problem



$$\text{Det} \left[ 1 - R \begin{pmatrix} 0 & e^{-i\phi} \\ e^{i\phi} & 0 \end{pmatrix} \right] = 0$$

Cayley transform

$$R = \frac{1 - A}{1 + A} \quad J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$M = AJ \quad \varepsilon = \tan(\phi/2)$$

$$\text{Det} (M - \varepsilon) = 0$$

skew-Hamiltonian ensemble

$$M^* = M, \quad M^T = -JMJ$$

→ all eigenvalues twofold degenerate  
and symmetric around the real axis

level crossing = real eigenvalue

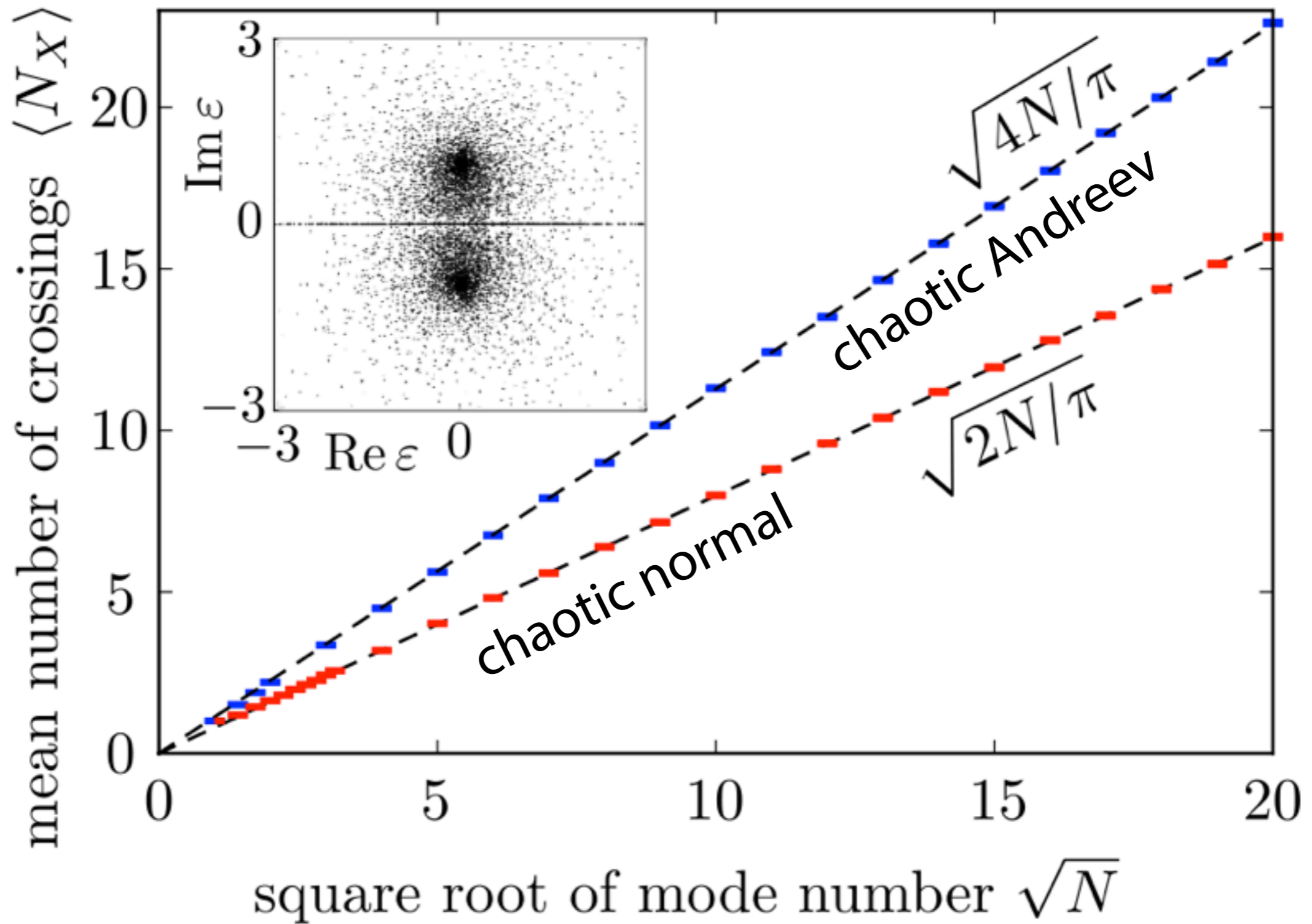
**HOW MANY EIGENVALUES OF A RANDOM MATRIX ARE REAL?**

ALAN EDELMAN, ERIC KOSTLAN, AND MICHAEL SHUB

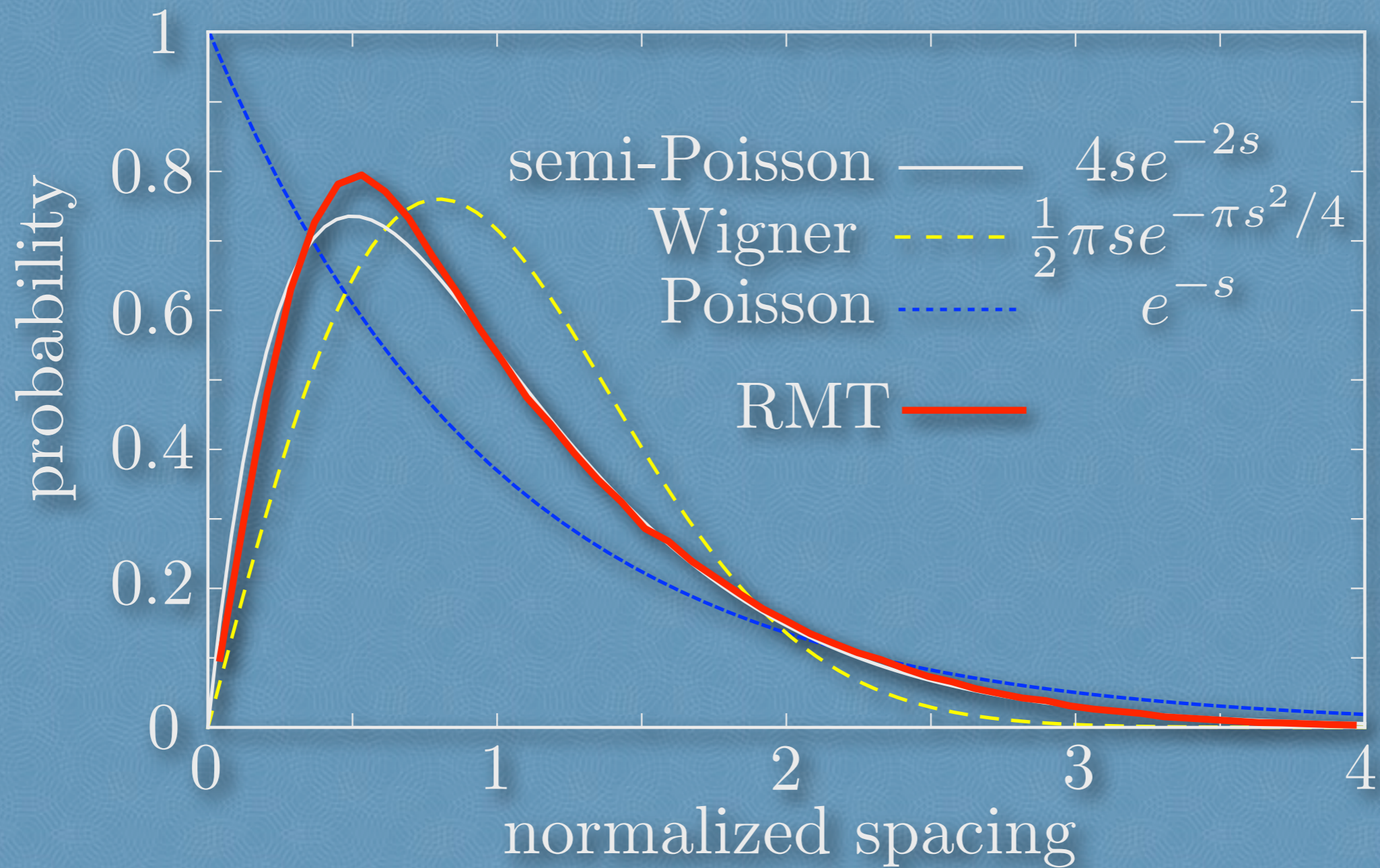
a classic problem in RMT

Ginibre, Forrester, Kanzieper, Sommers, Akemann....

# square-root law



# hybrid Wigner-Poisson statistics



same as level statistics at the metal-insulator transition (Shklovskii's *mermaid*)

# disordered InSb channel

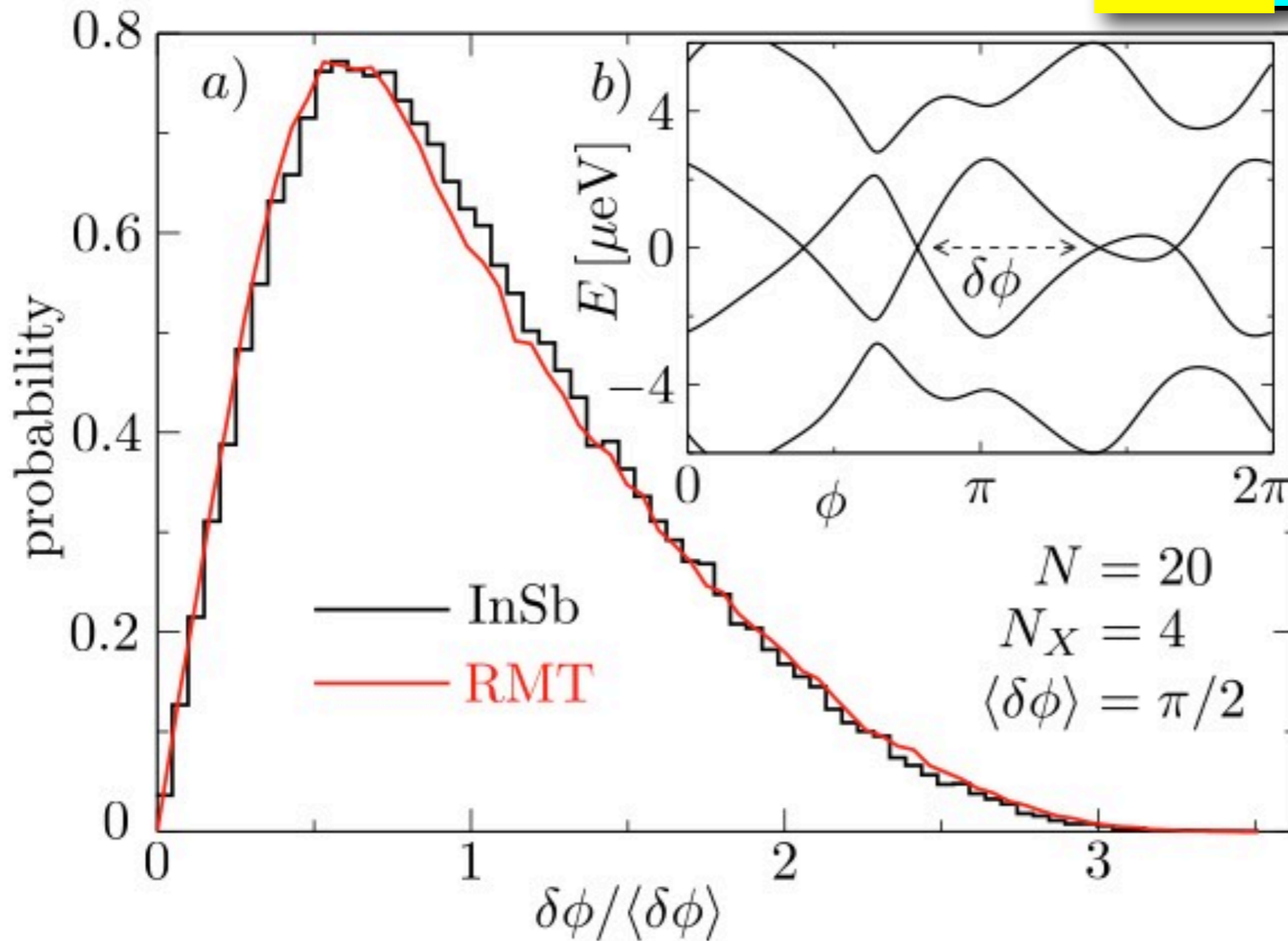
$$e^{i\phi} \Delta_0$$

$$L = 2 \mu\text{m}$$

$$B \odot$$

InSb

$$\Delta_0$$



*no adjustable parameters!*

# Conclusion

- topologically nontrivial RMT ensemble (CRE)
- $Q$ -dependence not accessible by large- $N$  perturbation theory
- $Q$  counts Majorana fermions
- cumulant theorem for conductance
- topological phase transition with quantized conductance

*experimental realization in 2012?!*