

# Gravitational Radiation:

## 1. The Physics of Gravitational Waves and their Generation

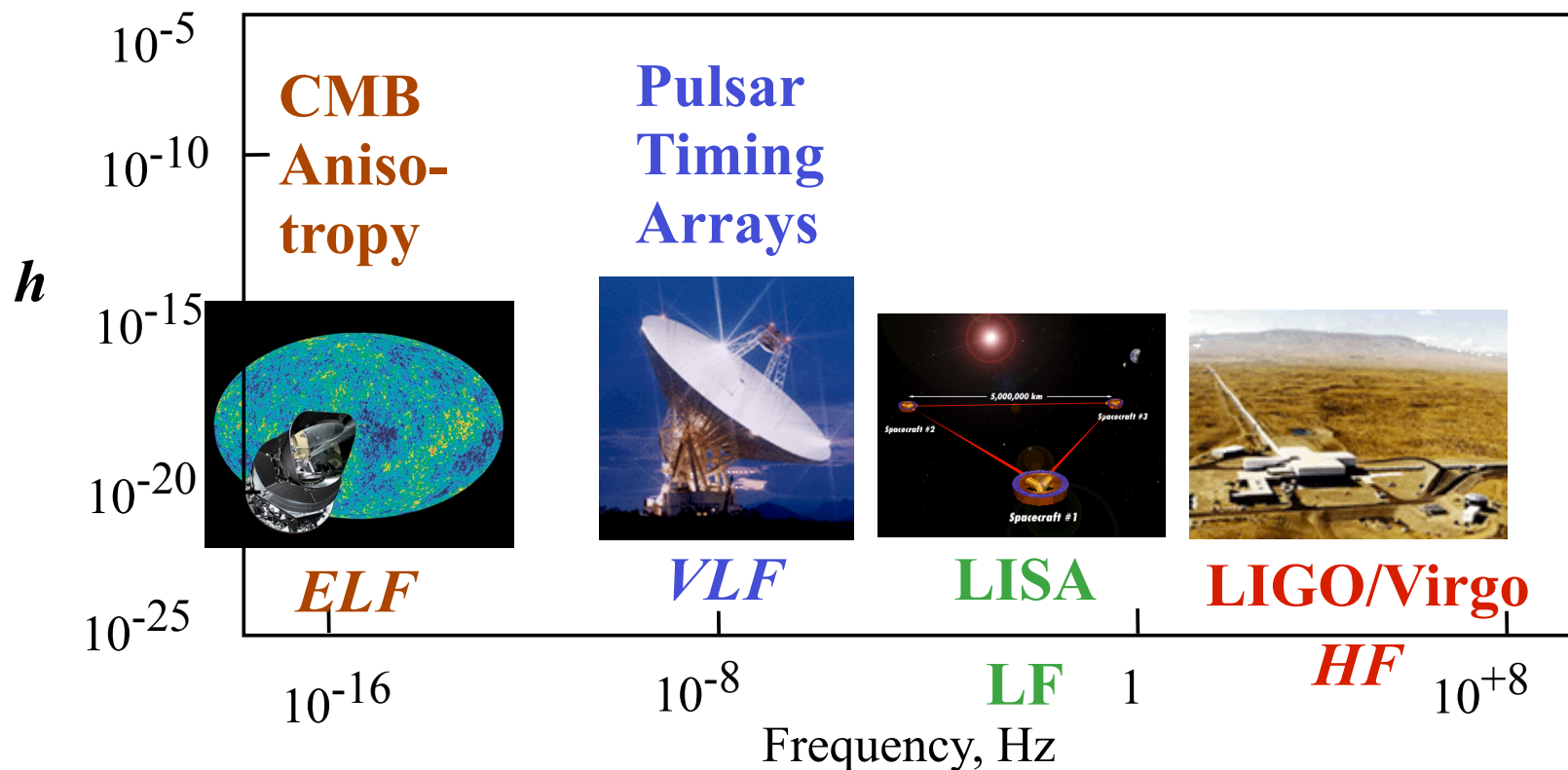
**Kip S. Thorne**

Lorentz Lectures, University of Leiden, September 2009

PDFs of lecture slides are available at  
<http://www.cco.caltech.edu/~kip/LorentzLectures/>  
each Thursday night before the Friday lecture

# Introduction

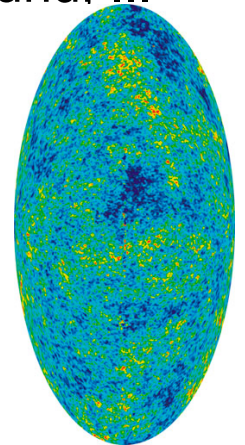
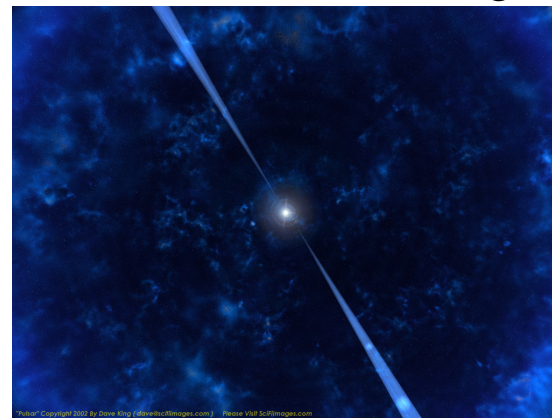
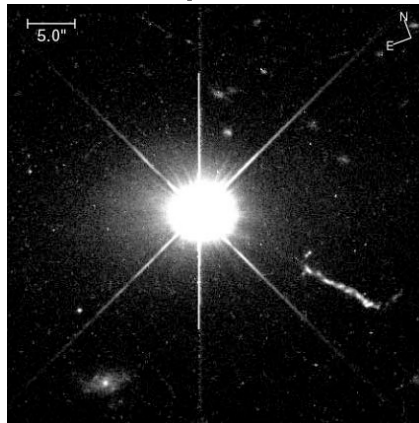
- The **Gravitational-Wave** window onto the universe is likely to be opened
  - in the next decade
  - in four widely different frequency bands, spanning 22 decades:



# Radical New Windows $\Rightarrow$ Great Surprises

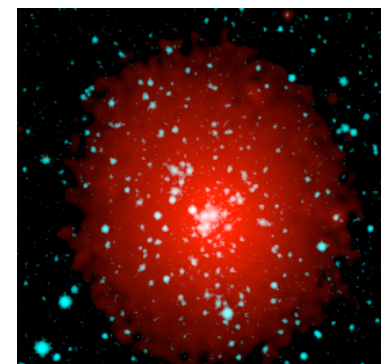
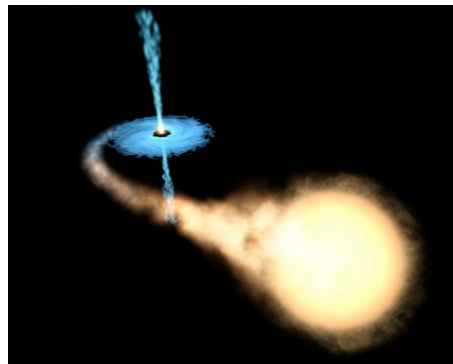
- **Radio Window: 1940s & 50s**

- $10^4$  x lower frequency than optical
- radio galaxies, quasars, pulsars, cosmic microwave background, ...



- **X-Ray Window: 1960s & 70s**

- $10^3$  x higher frequency than optical
- black holes, accreting neutron stars, hot intergalactic gas, ...



# Radical New Windows $\Rightarrow$ Great Surprises

- **Gravitational Waves** are far more radical than **Radio** or **X-rays**

*Completely new form of radiation!*

*Frequencies to be opened span 22 decades*

$$f_{\text{HF}} / f_{\text{ELF}} \sim 10^{22}$$

- **What will we learn from Gravitational Waves?**
  - ▶ *“Warped side of the universe”*
    - our first glimpses, then in-depth studies
  - ▶ *The nonlinear dynamics of curved spacetime*
  - ▶ *Answers to astrophysical & cosmological puzzles:*
    - How are supernovae powered?
    - How are gamma-ray bursts powered?
    - What was the energy scale of inflation? ...
- *Surprises*

# Growth of GW Community

- **1994: LIGO Approved for Construction: ~ 30 scientists**
- **Today: ~ 1500 scientists**
  - influx from other fields
  - needed for success
  - drawn by expected science payoffs

# These Lectures

1. **The Physics of Gravitational Waves and their Generation**  
*Today*
2. **Astrophysical and Cosmological Sources of Gravitational Waves, and the Information they Carry**  
*Next Friday, Sept 25*
3. **Gravitational Wave Detection: Methods, Status, and Plans**  
*Following Friday, Oct 2*

# These Lectures

- ***Prerequisites for these lectures:***
  - Knowledge of physics at advanced undergraduate level
  - Especially special relativity and Newtonian gravity
  - Helpful to have been exposed to General Relativity; not necessary
- ***Goals of these lectures:***
  - Overview of gravitational-wave science
  - Focus on physical insight, viewpoints that are powerful
- ***Pedagogical form of these lectures:***
  - Present key ideas, key results, without derivations
  - Give references where derivations can be found

# These Lectures

- ***Pedagogical references that cover this lecture's material:***
  - **LH82:** K.S. Thorne, “Gravitational Radiation: An Introductory Review” in *Gravitational Radiation*, proceedings of the 1982 Les Houches summer school, eds. N. Deruelle and T. Piran (North Holland, 1983) - requires some knowledge of general relativity
  - **NW89:** K.S. Thorne, *Gravitational Waves: A New Window onto the Universe* (unpublished book, 1989), available on Web at <http://www.its.caltech.edu/~kip/stuff/Kip-NewWindow89.pdf> - does not require prior knowledge of general relativity, except in Chaps. 5 & 6.
  - **BT09:** R.D. Blandford and K.S. Thorne, *Applications of Classical Physics* (near ready for publication, 2009), available on Web at <http://www.pma.caltech.edu/Courses/ph136/yr2008/> - contains an introduction to general relativity.



# Resources

- ***The best introductory textbook on general relativity:***
  - James B. Hartle, *Gravity an Introduction to General Relativity* (Addison Wesley, 2003)
- ***The best course-length introduction to gravitational-wave science:***
  - *Gravitational Waves, a Web-Based Course* (including videos of lectures, readings, problem sets, problem solutions):  
<http://elmer.caltech.edu/ph237/>

# Outline of This Lecture

- 1. Gravitational waves (GWs) in the language of tidal gravity**
- 2. GWs in the linearized approximation to general relativity**
- 3. GW generation**
  - a. Linearized sources
  - b. Slow-motion sources
  - c. Nonlinear, highly dynamical sources: Numerical relativity
- 4. GWs in curved spacetime; geometric optics; GW energy**
- 5. Interaction of GWs with matter and EM fields**

# **1. Gravitational Waves in the Language of Tidal Gravity**

# Relative Motion of Inertial Frames

GW-induced motion of  
Local Inertial Frames

*Non-meshing of local  
inertial frames  $\Rightarrow$   
Curvature of Spacetime*

**Local Inertial Frame of A**

*Tidal Gravity*

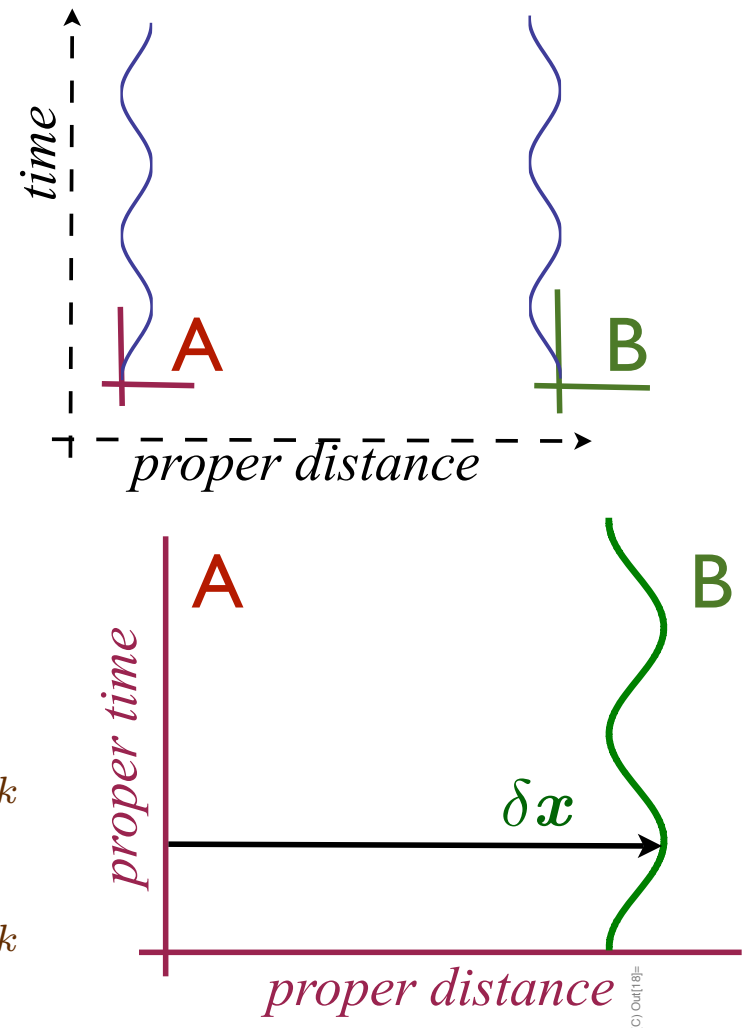
$\ddot{x}_j = \frac{1}{2} \ddot{h}_{jk}^{\text{GW}} x_k$  *GW field*

*Riemann  
Tensor*  
 $-R_{jtkk}$

$\delta x_j = \frac{1}{2} h_{jk}^{\text{GW}} x_k$

like  $\ddot{x}_j = \frac{\partial g_j}{\partial x_k} x_k$   
 $-\frac{\partial^2 \Phi}{\partial x_j \partial x_k} x_k$

analogous to  $E_j = -\dot{A}_j^{\text{T}}$   
(transverse Lorenz gauge)



# The GW field $h_{jk}^{\text{GW}}$

- The gravitational-wave field,  $h_{jk}^{\text{GW}}$

*Symmetric, transverse, traceless (TT);  
two polarizations: +, x*

- + Polarization

$$h_{xx}^{\text{GW}} = h_{+}(t - z/c) = h_{+}(t - z)$$

$$h_{yy}^{\text{GW}} = -h_{+}(t - z)$$

*Lines of force*

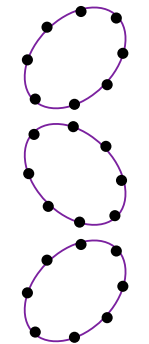
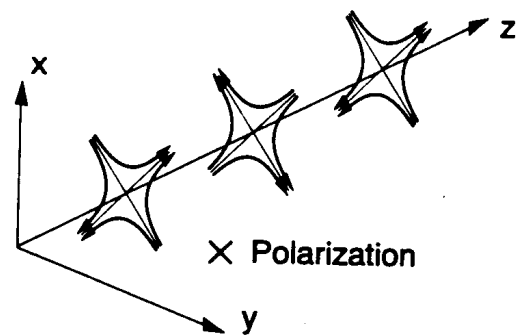
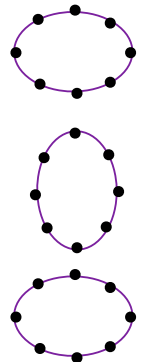
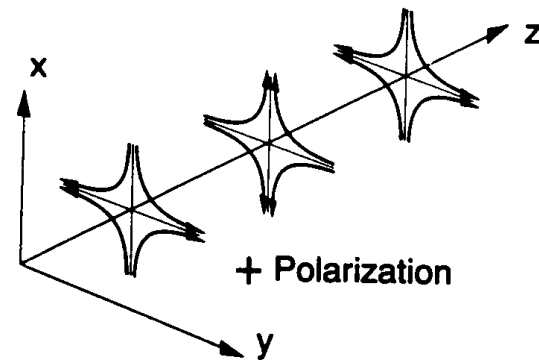
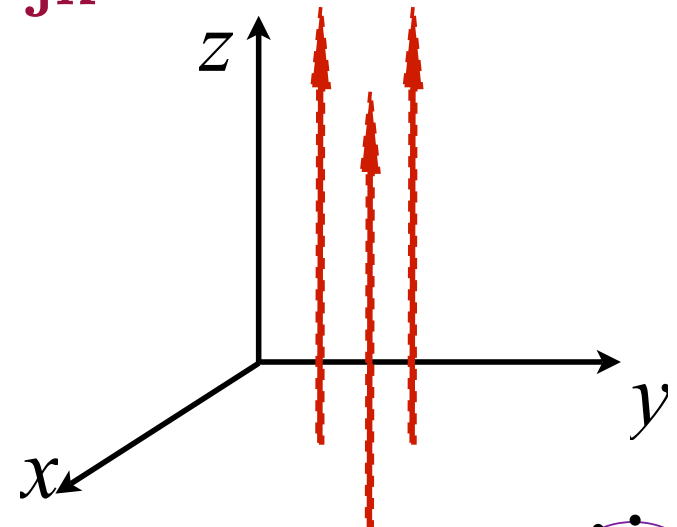
$$\ddot{x}_j = \frac{1}{2} \ddot{h}_{jk}^{\text{GW}} x_k$$

$$\ddot{x} = \ddot{h}_{+} x$$

$$\ddot{y} = -\ddot{h}_{+} y$$

- x Polarization

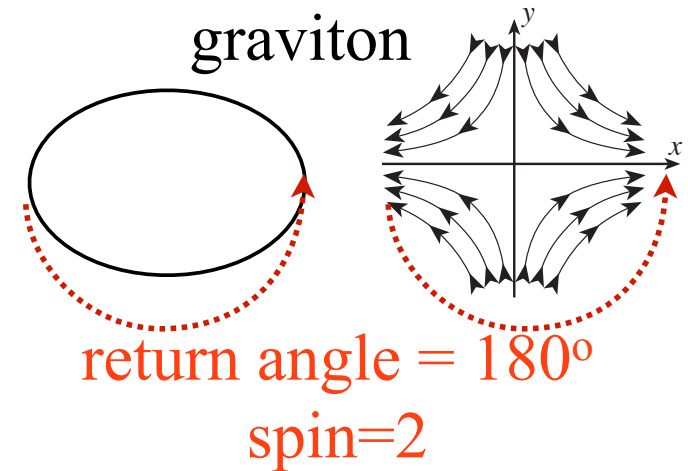
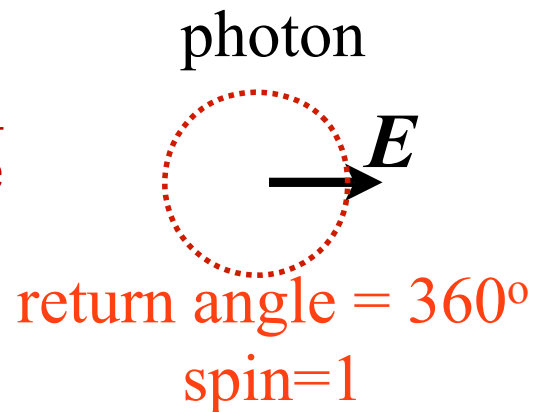
$$h_{xy}^{\text{GW}} = h_{yx}^{\text{GW}} = h_{\times}(t - z)$$



# Gravitons

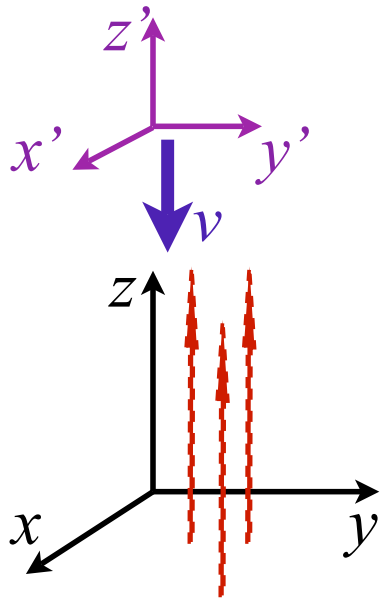
- Quantum spin and rest mass: imprint on classical waves

$$\text{spin} = \frac{180^\circ}{\text{return angle}}$$



$$\text{propagation speed} = c \equiv 1 \Rightarrow \text{rest mass} = 0$$

# Behavior of $h_{jk}^{\text{GW}}$ Under boosts in z direction



$$t - z = \mathcal{D}(t' - z')$$

$$\mathcal{D} = \sqrt{\frac{1+v}{1-v}}$$

GW Field

$$h_{jk}^{\text{GW}}, h_+, h_\times$$

transform as  
scalar fields

$$h_{jk}'^{\text{GW}}(t' - z')$$

$$= h_{jk}^{\text{GW}}(t - z)$$

$$= h_{jk}^{\text{GW}}(\mathcal{D}(t' - z'))$$

Riemann amplified

$$R'_{jtkk} = \frac{1}{2} \ddot{h}_{jk}'^{\text{GW}}$$

$$= \mathcal{D}^2 R_{jtkk}$$

boost weight 2

EM waves in

Transverse Lorenz gauge

$A_j^{\text{T}}$  is transverse:

$$A_x^{\text{T}}(t - z), A_y^{\text{T}}(t - z)$$

transform as  
scalar fields

$$A_j'^{\text{T}}(t' - z')$$

$$= A_j^{\text{T}}(\mathcal{D}(t' - z'))$$

Electric field amplified

$$E_j' = -\dot{A}_j^{\text{T}}$$

$$= -\mathcal{D} E_j$$

boost weight 1

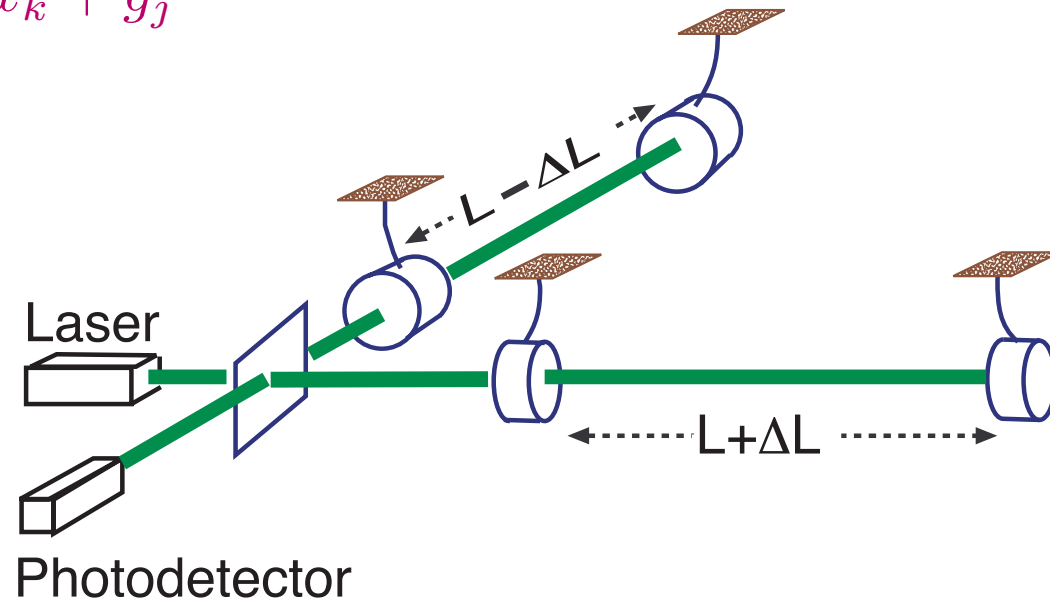
# GWs as Seen in Laboratory on Earth

- **Proper Reference Frame:** analog of local Lorentz frame

$$ds^2 = -(1 + 2\mathbf{g} \cdot \mathbf{x})dt^2 + dx^2 + dy^2 + dz^2$$

- **GWs unaffected by earth's gravity**
  - except for a very tiny, unimportant gravitational blue shift
- **Total gravitational force**

$$\ddot{x}_j = \frac{1}{2}\ddot{h}_{jk}^{\text{GW}} x_k + g_j$$





## **2. GWs in Linearized Approximation to General Relativity**

# Metric Perturbation, Lorenz Gauge, Einstein Field Equation

- Metric:**  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$

Flat

Grav'l Field

- Field theory in flat spacetime**  $h_{\mu\nu}$  analogous to  $A_\mu$
- Gauge freedom (ripple coordinates)**  $x_{\text{new}}^\mu = x_{\text{old}}^\mu - \xi^\mu$

$$h_{\mu\nu}^{\text{new}} = h_{\mu\nu}^{\text{old}} + \frac{\partial \xi_\mu}{\partial x^\nu} + \frac{\partial \xi_\nu}{\partial x^\mu} \text{ analogous to } A_\mu^{\text{new}} = A_\mu^{\text{old}} + \frac{\partial \phi}{\partial x^\mu}$$

- Lorenz gauge**  $\bar{h}_{\mu\nu} \equiv h_{\mu\nu} - \frac{1}{2} h^\alpha{}_\alpha \eta_{\mu\nu}$   
 $\frac{\partial \bar{h}^{\mu\nu}}{\partial x^\nu} = 0$  analogous to  $\frac{\partial A^\nu}{\partial x^\nu} = 0$

- Einstein field equation in Lorenz gauge**

$$\square \bar{h}^{\mu\nu} \equiv \eta^{\alpha\beta} \frac{\partial}{\partial x^\alpha} \frac{\partial}{\partial x^\beta} \bar{h}^{\mu\nu} = -16\pi G T^{\mu\nu} \text{ analogous to } \square A^\mu = -4\pi J^\mu$$

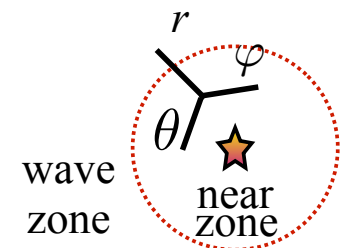
- Gravitational-wave field**

In wave zone, gauge change with  $\square \xi_\alpha = 0$  (analogous to  $\square \phi = 0$ )  $\rightarrow$

Project out TT piece; get GW field:  $h_{tt}^{\text{new}} = h_{jt}^{\text{new}} = 0$ ,  $h_{jk}^{\text{new}} = (h_{jk}^{\text{old}})^{\text{TT}} = h_{jk}^{\text{GW}}$

where  $(h_{\theta\varphi}^{\text{old}})^{\text{TT}} = h_{\theta\varphi}^{\text{old}} = h_\times$ ,  $(h_{\theta\theta}^{\text{old}})^{\text{TT}} = h_{\theta\theta}^{\text{old}} - \frac{1}{2}(h_{\theta\theta} + h_{\varphi\varphi}) = \frac{1}{2}(h_{\theta\theta} - h_{\varphi\varphi}) = h_+$ ,

analogous to obtaining Transverse Lorenz gauge by projecting:  $A_j^{\text{T}} = (A_j^{\text{old}})^{\text{T}}$



# **3. Gravitational Wave Generation**

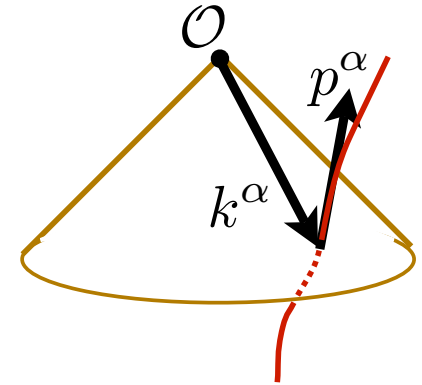
## Example: Linearized, Point Particles in Lorenz Gauge

- Electromagnetic**

$$\square A^\alpha = -4\pi J^\alpha \Rightarrow \text{at } \mathcal{O}, A^\alpha = \frac{q p^\alpha}{k_\mu p^\mu}$$

in rest frame of particle, reduces to  $A^t = \frac{q}{r}$

In wave zone  $E_j = -(\dot{A}_j)^T$  (Liénard-Wiechart)



- Gravitational**

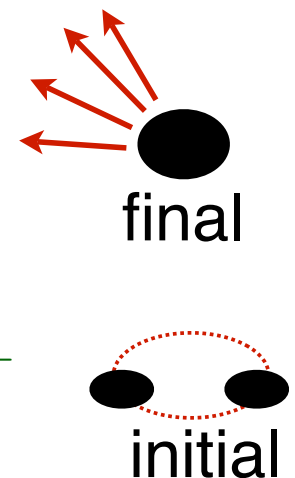
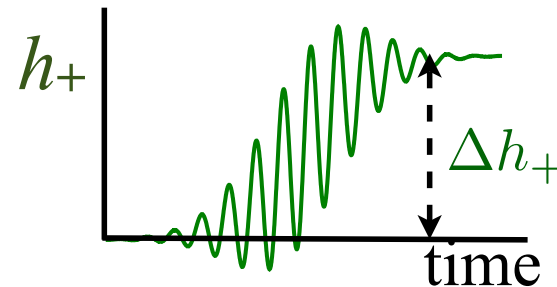
$$\square \bar{h}^{\alpha\beta} = -16\pi G T^{\alpha\beta} \Rightarrow \text{at } \mathcal{O}, \bar{h}^{\alpha\beta} = G \frac{p^\alpha p^\beta}{k_\mu p^\mu}$$

in rest frame of particle, reduces to  $\bar{h}^{tt} = \frac{4Gm}{r}$

In wave zone  $h_{jk}^{\text{GW}} = (\bar{h}_{jk})^{\text{TT}} = G \left( \frac{p^j p^k}{k_\mu p^\mu} \right)^{\text{TT}}$

- Gravitational-Wave Memory**

$$\Delta h_{jk}^{\text{GW}} = G \left( \Delta \sum_A \frac{4 p_A^j p_A^k}{k_{A\mu} p_A^\mu} \right)^{\text{TT}}$$



# Slow-Motion GW Sources

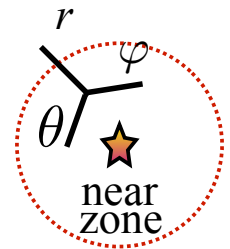
**Slow Motion:** speeds  $\ll c = 1$ ; wavelength  $= \lambda \gg$  (source size)  $= L$

examples: me waving arms; pulsar (spinning neutron star); binary made from two black holes

- **Weak-field, near zone: *Newtonian Potential***

$$\Phi = -G \frac{m}{r} \& G \frac{\text{mass dipole}}{r^2} \& G \frac{\text{mass quadrupole}}{r^3} \& \dots$$

wave  
zone



- **Wave zone:**  $h_{jk}^{\text{GW}} \sim \frac{1}{r}$  [by energy conservation] and dimensionless  $\Rightarrow$

$$h_{jk}^{\text{GW}} \sim G \frac{m}{r} \& G \frac{\partial(\text{mass dipole})/\partial t}{r} \& G \frac{\partial^2(\text{mass quadrupole})/\partial t^2}{r} \& \dots$$

momentum; cannot oscillate

mass; cannot oscillate

canonical field theory  $\Rightarrow$  radiation field carried by quanta with spin  $s$  has multipoles confined to  $\ell \geq s$

**Mass  
quadrupole  
dominates**

$$h_{jk}^{\text{GW}} = 2G \left( \frac{\ddot{\mathcal{I}}_{jk}}{r} \right)^{\text{TT}} \quad \text{for Newtonian source } \mathcal{I}_{jk} = \int \rho (x^j x^k - \frac{1}{3} r^2 \delta^{jk}) d^3x$$

# Common Textbook Derivation

## 1. Linearized Approximation to General Relativity (set $G=1$ )

$$\square \bar{h}^{jk} = -16\pi \bar{h}^{jk} \Rightarrow \bar{h}^{jk}(t, \mathbf{x}) = 4 \int \frac{T^{jk}(t - |\mathbf{x} - \mathbf{x}'|, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|} d^3 x'$$

$$\text{slow motion} \Rightarrow \bar{h}^{jk}(t, \mathbf{x}) = \frac{4 \int T^{jk}(t - r, |\mathbf{x}'|) d^3 x'}{r}$$

## 2. Conservation of 4-momentum $T^{\alpha\beta}_{,\beta} = 0 \Rightarrow$

$$2T^{jk} = (T^{tt} x^j x^k)_{,tt} - (T^{ab} x^j x^k)_{,ab} - 2(T^{aj} x^k + T^{ak} x^j)_{,a}$$

## 3. Insert 2 into 1; integral of divergence vanishes

$$\bar{h}^{jk}(t, \mathbf{x}) = \frac{2\ddot{I}^{jk}(t - r)}{r}, \text{ where } I^{jk} = \int T^{00} x^j x^k d^3 x$$

## 4. Take transverse traceless part

$$\bar{h}_{jk}^{\text{GW}}(t, \mathbf{x}) = \frac{2 \left( \ddot{\mathcal{I}}_{jk}(t - r) \right)^{\text{TT}}}{r}, \text{ where } \mathcal{I}^{jk} = \int T^{00} (x^j x^k - r^2 \delta^{jk}) d^3 x$$

**PROBLEM: Derivation Not valid when self gravity influences source's dynamics!!**

# Derivation via Propagation from Weak-Field Near Zone to Wave Zone

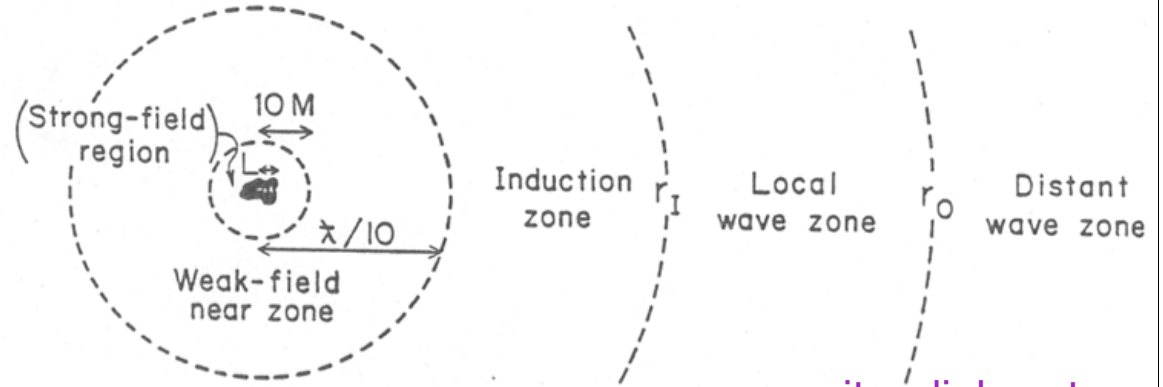
- Weak-gravity regions: Linearized approximation to GR

$$\square h^{\alpha\beta} = 0$$

$$\bar{h}^{\alpha\beta}_{,\beta} = 0$$

$$\text{i.e. } \bar{h}^{tt}_{,t} = -\bar{h}^{tj}_{,j}$$

$$\bar{h}^{tj}_{,t} = -\bar{h}^{jk}_{,k}$$



In weak-field near zone (wfnz)  $\Phi = -\frac{M}{r} - \frac{3\mathcal{I}_{jk}n^jn^k}{2r^3}$ ,  $\bar{h}^{tt} = -4\Phi$  ← unit radial vector

- Quadrupolar solution in Induction Zone:

$$\bar{h}^{tt} = 2 \left[ \frac{1}{r} \mathcal{I}_{jk}(t-r) \right]_{,jk} \simeq \frac{6}{r^3} \mathcal{I}_{jk} n^j n^k \text{ in wfnz, } \simeq \frac{2}{r} \ddot{I}_{jk} n^j n^k \text{ in lwz}$$
← pure gauge

$$\bar{h}^{tj} = 2 \left[ \frac{1}{r} \dot{\mathcal{I}}_{jk}(t-r) \right]_{,k} \simeq \frac{-2}{r^2} \dot{\mathcal{I}}_{jk} n^k \text{ in wfnz, } \simeq \frac{-2}{r^2} \ddot{\mathcal{I}}_{jk}(t-r) n^l \text{ in lwz}$$
← tiny ← pure gauge

$$\bar{h}^{jk} = \frac{2}{r} \ddot{\mathcal{I}}_{jk}(t-r) \text{ Take TT part to get GW field in wfnz:}$$

$$\bar{h}^{GW}_{jk}(t, \mathbf{x}) = \frac{2 \left( \ddot{\mathcal{I}}_{jk}(t-r) \right)^{\text{TT}}}{r}$$

# $h_{jk}^{\text{GW}}$ Order of Magnitude

- **Source parameters:**

mass  $\sim M$ , size  $\sim L$ , rate of quadrupolar oscillations  $\sim \omega$ , distance  $\sim r$ ,  
internal kinetic energy of quadrupolar oscillations  $\sim E_{\text{kin}} \sim M(\omega L)^2$

- **GW strength:**

$$h_{jk}^{\text{GW}} = 2G \frac{\ddot{I}_{jk}}{r} \sim G \frac{\omega^2 (ML^2)}{r} \sim G \frac{E_{\text{kin}}/c^2}{r}$$

$\sim \Phi$  produced by kinetic energy of shape changes.

$$h_{jk}^{\text{GW}} \sim h_+ \sim h_{\times} \sim 10^{-21} \left( \frac{E_{\text{kin}}}{M_{\odot} c^2} \right) \left( \frac{100 \text{Mpc}}{r} \right)$$

$$100 \text{Mpc} = 300 \text{ million light years} \sim \frac{1}{30} (\text{Hubble distance})$$



# Slow-Motion Sources: Higher-Order Corrections

- **Source Dynamics: Post-Newtonian Expansion**

$$\text{in } v/c \sim \sqrt{\Phi/c^2} \sim \sqrt{P/\rho c^2}$$

- **GW Field: Higher-Order Moments (octopole, ...)**

- computed in same manner as quadrupolar waves: by analyzing the transition from weak-field near zone, through induction zone, to local wave zone
- actually Two families of moments (like electric and magnetic) -
  - ▶ moments of mass distribution, moments of angular-momentum distribution
- Use symmetric, trace-free (STF) tensors to describe the moments and the GW field ... (19th century approach; Great Computational Power)

# STF Tensors [an aside]

- **Multipole moments of Newtonian gravitational potential**

- **Spherical-harmonic description:**  $\Phi \sim \sum_{m=-\ell}^{+\ell} \frac{\mathcal{M}_{\ell m} Y_{\ell m}(\theta\phi)}{r^{\ell+1}}$   
 $\mathcal{M}_{\ell m}$  has  $2\ell + 1$  components:  $m = -\ell, \ell + 1, \dots, +\ell$

- **STF description:**  $\Phi \sim \frac{\mathcal{I}_{a_1 a_2 \dots a_\ell} n^{a_1} n^{a_2} \dots n^{a_\ell}}{r^{\ell+1}}$   
 $\mathcal{I}_{a_1 a_2 \dots a_\ell}$  has  $2\ell + 1$  independent components

- **Multipolar Expansion of gravitational-wave field**

$$h_{jk}^{\text{GW}} = \left\{ \sum_{\ell=2}^{\infty} \frac{4}{\ell!} \frac{\partial^\ell}{\partial t^\ell} \frac{\mathcal{I}_{jk a_1 \dots a_{\ell-2}}(t-r)}{r} n^{a_1} \dots n^{a_{\ell-2}} \right\}^{\text{TT}} \quad \text{mass moments}$$

$$+ \left\{ \sum_{\ell=2}^{\infty} \frac{8\ell}{(\ell+1)!} \epsilon_{pq(j} \frac{\partial^\ell}{\partial t^\ell} \frac{\mathcal{S}_{k) p a_1 \dots a_{\ell-1}}(t-r)}{r} n^q n^{a_1} \dots n^{a_{\ell-1}} \right\}^{\text{TT}} \quad \begin{array}{l} \text{angular momentum moments} \\ \text{current moments} \end{array}$$

- Indices carry directional, multipolar and tensor information, all at once

## Strong-Gravity ( $GM/c^2L \sim 1$ ), Fast-Motion ( $v \sim c$ ) Sources

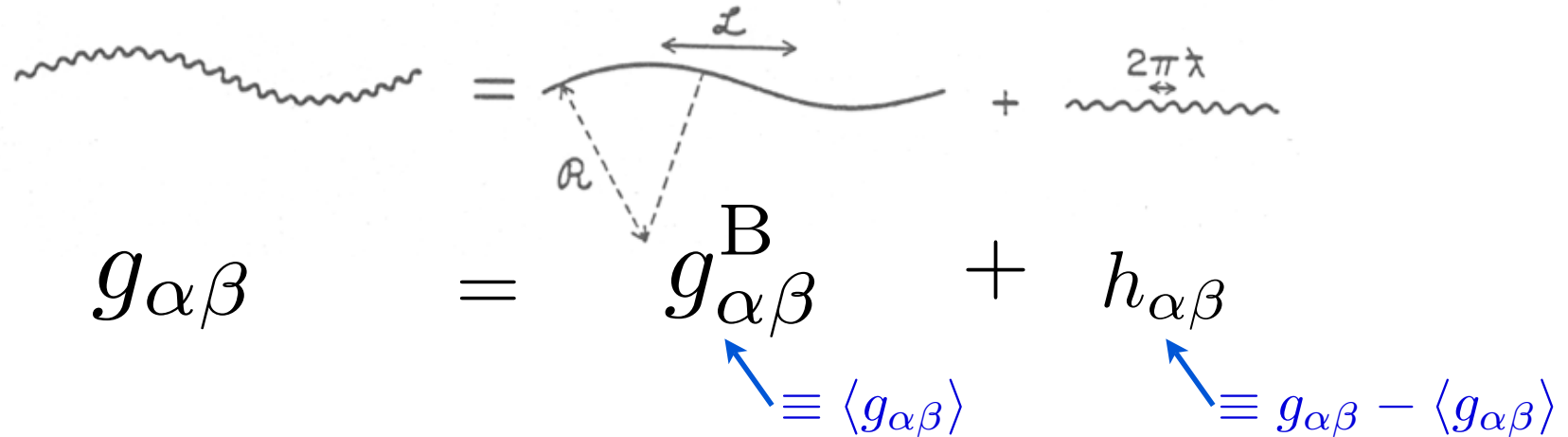
- **Most important examples [next week]**
  - Black-hole binaries: late inspiral, collision, merger, ringdown
  - Black-hole / neutron-star binaries: late inspiral, tidal disruption and swallowing
  - neutron star / neutron-star binaries: late inspiral, collision and merger
  - supernovae
- **These are the strongest and most interesting of all sources**
- **Slow-motion approximation fails**
- **Only way to compute waves: *Numerical Relativity***

## **4. Gravitational Waves in curved spacetime; geometric optics; GW energy**

## GWs Propagating Through Curved Spacetime (distant wave zone)

- Definition of gravitational wave: the rapidly varying part of the metric and of the curvature**

$$\tilde{\lambda} = \lambda/2\pi \ll \mathcal{L} = (\text{lengthscale on which background metric varies}) \lesssim \mathcal{R}$$



$$g_{\alpha\beta} = g_{\alpha\beta}^B + h_{\alpha\beta}$$

$\equiv \langle g_{\alpha\beta} \rangle$ 
 $\equiv g_{\alpha\beta} - \langle g_{\alpha\beta} \rangle$

Same definition used for waves in plasmas, fluids, solids

- In local Lorentz frame of background: GW theory same as in flat spacetime (above)**

$\square h_{\alpha\beta} = 0$  in vacuum. Same propagation equation as for EM waves:  $\square A_\alpha = 0$

**$\Rightarrow$  GWs exhibit same geometric-optics behavior as EM waves**

# Geometric-Optics Propagation

- GWs and EM waves propagate along the same **rays**: null geodesics in the background spacetime

- Label each ray by its direction  $(\theta, \varphi)$  in source's local wave zone, and the retarded time it has in the local wave zone,

$$t_{\text{ret}} \equiv (t - r)_{\text{local wave zone}}$$

- Wave's amplitude dies out as  $1/r$  in local wave zone.

Along the the ray, in distant wave zone, define  $A =$  (cross sectional area of a bundle of rays, and  $r \equiv r_o \sqrt{A/A_o}$  where  $r_o$  and  $A_o$  are values at some location in local wave zone. Then amplitude continues to die out as  $1/r$  in distant wave zone.

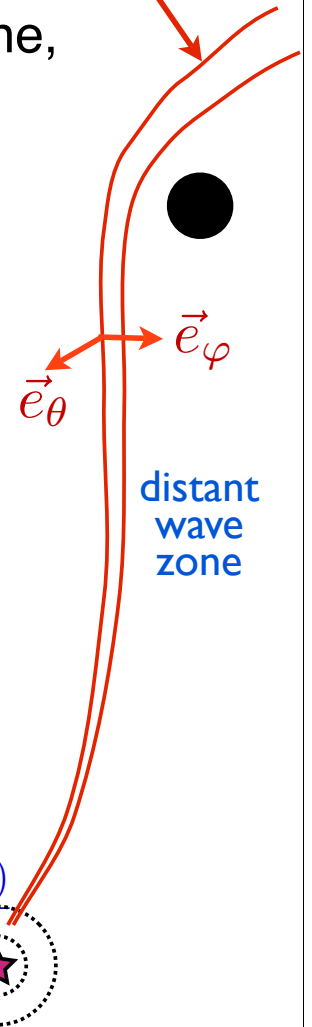
- Transport the unit basis vectors  $\vec{e}_\theta$  and  $\vec{e}_\varphi$  parallel to themselves along the ray, from local wave zone into and through distant wave zone. Use them to define + and x

- Then in distant wave zone:  $A_\theta = \frac{Q_\theta(t_{\text{ret}}, \theta, \varphi)}{r}$ ,  $A_\varphi = \frac{Q_\varphi(t_{\text{ret}}, \theta, \varphi)}{r}$

$$h_+ = \frac{Q_+(t_{\text{ret}}, \theta, \varphi)}{r}, \quad h_\times = \frac{Q_\times(t_{\text{ret}}, \theta, \varphi)}{r}$$

local wave zone

ray  $(t_{\text{ret}}, \theta, \varphi)$



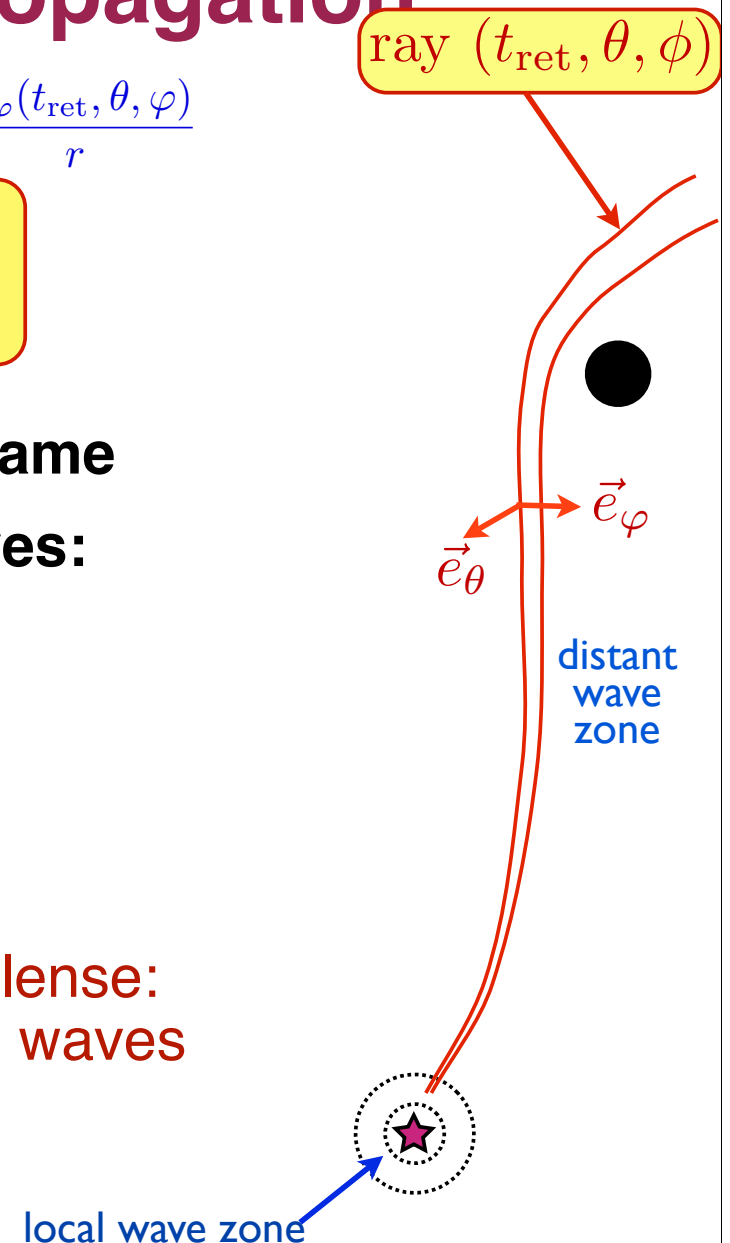
# Geometric-Optics Propagation

- **Form of waves:**  $A_\theta = \frac{Q_\theta(t_{\text{ret}}, \theta, \varphi)}{r}$ ,  $A_\varphi = \frac{Q_\varphi(t_{\text{ret}}, \theta, \varphi)}{r}$

$$h_+ = \frac{Q_+(t_{\text{ret}}, \theta, \varphi)}{r}, \quad h_\times = \frac{Q_\times(t_{\text{ret}}, \theta, \varphi)}{r}$$

- $\Rightarrow$  **GWs experience identically the same geometric-optics effects as EM waves:**

- gravitational redshift,
  - cosmological redshift,
  - gravitational lensing, ...
- and at the focus of a gravitational lense:  
the same diffraction effects as EM waves



# Energy and Momentum in GWs

- Einstein's general relativity field equations say

$$G_{\alpha\beta} = 8\pi T_{\alpha\beta}$$

$G_{\alpha\beta}$  ← Einstein's curvature tensor  
 $T_{\alpha\beta}$  ← Energy-momentum-stress tensor

- Break metric into background plus GW:  $g_{\alpha\beta} = g_{\alpha\beta}^B + h_{\alpha\beta}$

- Expand Einstein tensor in powers of  $h_{\alpha\beta}$

$$G_{\alpha\beta} = G_{\alpha\beta}^B + G_{\alpha\beta}^{(1)} + G_{\alpha\beta}^{(2)}$$

$G_{\alpha\beta}^B$  ← Background Einstein tensor  
 $G_{\alpha\beta}^{(1)}$  ← linear in  $h_{\mu\nu}$   
 $G_{\alpha\beta}^{(2)}$  ← quadratic in  $h_{\mu\nu}$

- Average over a few wavelengths to get quantities that vary on background scale  $\mathcal{L}$ , not wavelength scale  $\lambda$

$$\langle G_{\alpha\beta} \rangle = G_{\alpha\beta}^B + \langle G_{\alpha\beta}^{(2)} \rangle = 8\pi \langle T_{\alpha\beta} \rangle$$

- Rearrange:  $G_{\alpha\beta}^B = 8\pi(\langle T_{\alpha\beta} \rangle + T_{\alpha\beta}^{\text{GW}})$  where  $T_{\alpha\beta}^{\text{GW}} \equiv -\frac{\langle G_{\alpha\beta}^{(2)} \rangle}{8\pi}$

- Evaluate the average:

$$T_{\alpha\beta}^{\text{GW}} = \frac{1}{16\pi} \langle h_{+, \alpha} h_{+, \beta} + h_{\times, \alpha} h_{\times, \beta} \rangle$$

- In Local Lorentz Frame

$$T_{\text{GW}}^{tt} = T_{\text{GW}}^{tz} = T_{\text{GW}}^{zz} = \frac{1}{16\pi} \langle \dot{h}_+^2 + \dot{h}_\times^2 \rangle.$$

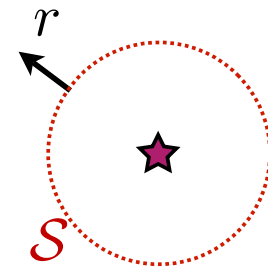


# Energy and Momentum Conservation

- **Einstein's field equations**  $G_{\alpha\beta}^B = 8\pi(\langle T_{\alpha\beta} \rangle + T_{\alpha\beta}^{\text{GW}})$  guarantee energy and momentum conservation, e.g.

- **Source loses mass (energy) at a rate**

$$\frac{dM}{dt} = - \oint_S T_{\text{GW}}^{tr} dA = - \frac{1}{16\pi} \oint_S \langle \dot{h}_+^2 + \dot{h}_\times^2 \rangle dA$$



- **Source loses linear momentum at a rate**

$$\frac{dp^j}{dt} = - \oint_S T_{\text{GW}}^{jr} dA = - \frac{1}{16\pi} \oint_S (\vec{e}_j \cdot \vec{e}_r) \langle \dot{h}_+^2 + \dot{h}_\times^2 \rangle dA$$

- **Angular momentum is a little more delicate**

## **5. Interaction of Gravitational Waves with matter and EM fields**

# Plane GW Traveling Through Homogeneous Matter

- **Fluid:**

- GW shears the fluid, (rate of shear) =  $\sigma_{jk} = \frac{1}{2}\dot{h}_{jk}^{\text{GW}}$
- no resistance to shear, so no action back on wave
- Viscosity  $\eta \sim \rho v s = (\text{density})(\text{mean speed of particles})(\text{mean free path})$   
produces stress  $T_{jk} = -2\eta\sigma_{jk} = -\eta\dot{h}_{jk}^{\text{GW}}$  **NOTE:**  $s$  must be  $< \lambda$
- Linearized Einstein field equation:  $\square h_{jk}^{\text{GW}} = -16\pi(T_{jk})^{\text{TT}} = 16\pi\eta\dot{h}_{jk}^{\text{GW}}$
- Wave attenuates:  $h_{jk}^{\text{GW}} \sim \exp(-z/\ell_{\text{att}})$  where  $\ell_{\text{att}} = \frac{1}{8\pi\eta} = \frac{1}{8\pi\rho v s}$
- Fluid's density curves spacetime (background Einstein equations)  
 $\frac{1}{\mathcal{R}^2} \sim G_{00}^B = 8\pi\rho$
- Therefore  $\ell_{\text{att}} \sim \frac{\mathcal{R}^2}{v s} = \mathcal{R} \frac{\mathcal{R}}{s} \frac{c}{v} \gtrsim \mathcal{R} \frac{\mathcal{R}}{\lambda} \frac{c}{v} \gg \mathcal{R}$

**The viscous attenuation length is always far larger than the background radius of curvature. Attenuation is never significant!**

# Plane GW Traveling Through Homogeneous Matter

- **Elastic Medium:**

- GW shears the medium, (rate of shear)  $= \sigma_{jk} = \frac{1}{2}\dot{h}_{jk}^{\text{GW}}$ , (shear) $=\Sigma_{jk} = \frac{1}{2}h_{jk}^{\text{GW}}$
- Medium resists with stress  $T_{jk} = -2\mu\Sigma_{jk} - 2\eta\sigma_{jk} = -\mu h_{jk}^{\text{GW}} - \eta\dot{h}_{jk}^{\text{GW}}$
- Einstein equation becomes  $\square h_{jk}^{\text{GW}} = -16\pi(T_{jk})^{\text{TT}} = 16\pi(\mu h_{jk}^{\text{GW}} + \eta\dot{h}_{jk}^{\text{GW}})$
- Insert  $h_{jk}^{\text{GW}} \propto \exp(-i\omega t + ikz)$ . Obtain dispersion relation  
 $\omega^2 - k^2 = 16\pi(\mu - i\omega\eta)$ ; i.e.  $\omega = k(1 + 8\pi\lambda^2\mu) - i8\pi\eta$ , where  $\lambda = 1/k$
- Same attenuation length as for fluid:  $\ell_{\text{att}} = \frac{1}{8\pi\eta} \gg \mathcal{R}$
- Phase and group velocities (dispersion):  

$$v_{\text{phase}} = \frac{\omega}{k} = 1 + 8\pi\lambda^2\mu, \quad v_{\text{group}} = \frac{d\omega}{dk} = 1 - 8\pi\lambda^2\mu$$
- Dispersion length (one radian phase slippage)  $\ell = \frac{\lambda}{\delta v_{\text{phase}}} = \frac{1}{8\pi\lambda\mu} \gtrsim \frac{\mathcal{R}^2}{\lambda} \gg \mathcal{R}$

**The dispersion length is always far larger than the background radius of curvature. Dispersion is never significant!**

# GW Scattering

- **Strongest scattering medium is a swarm of black holes: hole mass  $M$ , number density of holes  $n$**

- Scattering cross section  $\sigma \lesssim M^2$
- Graviton mean free path for scattering

$$\ell = \frac{1}{n\sigma} \gtrsim \frac{1}{nM^2} = \frac{1}{\rho M} \sim \frac{\mathcal{R}^2}{M} \gg \mathcal{R}$$

**The scattering mean free path is always far larger than the background radius of curvature. Scattering is never significant!**

# Interaction with an Electric or Magnetic Field

- Consider a plane EM wave propagating through a DC magnetic field  $\mathbf{B}_{\text{wave}} = B_o \sin[\omega(t - z)]\mathbf{e}_y$ ,  $\mathbf{B}_{\text{DC}} = B_{\text{DC}}\mathbf{e}_y$

- Beating produces a TT stress  $T_{xx} = -T_{yy} = \frac{B_o B_{\text{DC}}}{4\pi}$

- TT stress resonantly generates a GW  $\square h_{jk}^{\text{GW}} = -16\pi(T_{jk})^{\text{TT}}$

$$h_+ = h_{xx}^{\text{GW}} = -h_{yy}^{\text{GW}} = \frac{2B_{\text{DC}}B_o}{\omega} z \cos[\omega(t - z)] \quad \text{The “Gertsenshtein effect”}$$

- Ratio of GW energy to EM wave energy:

$$\frac{T_{\text{GW}}^{tt}}{T_{\text{EMwave}}^{tt}} = \frac{\langle \dot{h}_+^2 \rangle / 16\pi}{B_o^2 / 8\pi} = B_{\text{DC}}^2 z^2 = \frac{z^2}{\mathcal{R}^2}$$

The lengthscale for significant conversion of EM wave energy into GW energy is equal to the radius of curvature of spacetime produced by the catalyzing DC magnetic field.

- The lengthscale for the inverse process is the same

There can never be significant conversion in the astrophysical universe.

# Conclusion

- **Gravitational Waves propagate through the astrophysical universe without significant attenuation, scattering, dispersion, or conversion into EM waves**
- **Next Friday: Astrophysical and Cosmological Sources of Gravitational Waves, and the Information they Carry**
  - slides will be available Thursday night at <http://www.cco.caltech.edu/~kip/LorentzLectures/>